#### C. KERRY FIELDS

Marshall School of Business Hoffman Hall 236 Los Angeles, California 90089-1427 213 740 9307

Cell: 714 334 6850

#### **Academic Positions**

2006-present Professor of Clinical Finance and Business Economics

2001-2006 Associate Professor of Clinical Finance and Business Economics

2000-2001 Adjunct, California State University at Long Beach

## **Professional Preparation**

1976 J.D., cum laude, Santa Clara University

1973 B.S., Business Administration, University of Southern California

# **Teaching Experience**

Fall 2024: Taught 4 Courses: FBE 428 (Introduction to Employment Law);

and FBE 429 (International Business Law); FBE 558 (Law for Structuring, Financing and Managing Businesses); and GSBA 537

(Employment Law and Business Ethics)

Spring 2024: Taught 4 Courses: FBE 429 (International Business Law); FBE

557 (Business Law and Ethics); and FBE 558 (Law for Structuring, Financing and Managing Businesses); and, and two sections of

GSBA 535 (Business Law)

Fall 2023: Taught 4 Courses: FBE 428 (Introduction to Employment Law);

and FBE 429 (International Business Law); FBE 558 (Law for Structuring, Financing and Managing Businesses); and GSBA 537

(Employment Law and Business Ethics)

Spring 2023: Taught 4 Courses: FBE 429 (International Business Law); FBE

557 (Business Law and Ethics); and FBE 558 (Law for Structuring, Financing and Managing Businesses); and, and a section of GSBA

535 (Business Law)

Fall 2022: Taught 4 Courses: FBE 428 (Principles of Employment Law);

and FBE 429 (International Business Law); FBE 558 (Law for

Structuring, Financing and Managing Businesses); and GSBA 537 (Employment Law and Business Ethics)

Summer 2022: Taught 4 Courses: FBE 588 (Advanced Real Estate Law); and

two sections of GSBA 537 (Employment Law and Business Ethics),

one section of GSBA 535 (Business Law)

Spring 2022: Taught 5 Courses: FBE 429 (International Business Law); FBE

557 (Business Law and Ethics); and FBE 558 (Law for Structuring, Financing and Managing Businesses); and, and two sections of

GSBA 535 (Business Law)

Fall 2021: Taught 4 Courses: FBE 428 (Principles of Employment Law);

and FBE 429 (International Business Law); FBE 558 (Law for Structuring, Financing and Managing Businesses); and GSBA 537

(Employment Law and Business Ethics)

Summer 2021: Taught 3 Courses: FBE 558 (Law for Structuring, Financing and

Managing Businesses); and two sections of GSBA 537

(Employment Law and Business Ethics)

Spring 2021: Taught 5 Courses: FBE 429 (International Business Law); FBE

557 (Business Law and Ethics); and FBE 558 (Law for Structuring, Financing and Managing Businesses); and, and one section each of GSBA 535 (Business Law) and GSBA 537 (Employment Law and

Business Ethics).

Fall 2020: Taught 4 Courses: FBE 428 (Principles of Employment Law);

and FBE 429 (International Business Law); FBE 558 (Law for Structuring, Financing and Managing Businesses); and GSBA 537

(Employment Law and Business Ethics)

Summer 2020 Taught 4 Courses: FBE 558 (Law for Structuring, Financing and

Managing Businesses); and GSBA 535 (Business Law); and two

sections of GSBA 537 (Employment Law and Ethics)

Spring 2020 Taught 4 Courses: FBE 429 (International Business Law); FBE

557 (Business Law and Ethics); and FBE 558 (Law for Structuring, Financing and Managing Businesses); and, and two sections of

GSBA 535 (Business Law)

Fall 2019 Taught 4 Courses: FBE 428 (Principles of Employment Law);

and, FBE 429 (International Business Law); FBE 558 (Law for Structuring, Financing and Managing Businesses; fkna Legal and Regulatory Environment of Business and Corporate Governance);

and, GSBA 537 (Employment Law and Business Ethics)

Summer 2019 <u>Taught 3 Courses</u>: FBE 588 (Advanced Real Estate Law); GSBA 535 (Business Law) and GSBA 537 (Employment Law and Business Ethics)

<u>Taught 5 Courses</u>: FBE 429 (International Business Law); FBE 557 (Business Law and Ethics); and FBE 558 (Legal and Regulatory Environment of Business and Corporate Governance); RED 562 (Legal Issues in Real Estate Development for the Price School of Public Policy); and GSBA 535 (Business Law)

<u>Taught 4 Courses</u>: FBE 428 (Principles of Employment Law); and, FBE 429 (International Business Law); FBE 558 (Law for Structuring, Financing and Managing Businesses; fkna Legal and Regulatory Environment of Business and Corporate Governance); and, GSBA 537 (Employment Law and Business Ethics)

Summer 2018 Taught 3 Courses: FBE 588 (Advanced Real Estate Law); GSBA 535 (Business Law) and GSBA 537 (Employment Law and Business Ethics)

Taught 5 Courses: FBE 429 (International Business Law); FBE 557 (Business Law and Ethics); and FBE 558 (Legal and Regulatory Environment of Business and Corporate Governance); RED 562 (Legal Issues in Real Estate Development for the Price School of Public Policy); and GSBA 535 (Business Law)

<u>Taught 4 Courses</u>: FBE 428 (Principles of Employment Law); and FBE 429 (International Business Law); FBE 558 (Law for Structuring, Financing and Managing Businesses; fkna Legal and Regulatory Environment of Business and Corporate Governance); and GSBA Employment Law and Business Ethics

Taught 3 Courses: FBE 588 (Advanced Real Estate Law); GSBA 535 (Business Law) and GSBA Employment Law and Business Ethics (1.5 units of Employment Law and Ethics)

<u>Taught 5 Courses</u>: FBE 429 (International Business Law); FBE 557 (Business Law and Ethics); and FBE 558 (Legal and Regulatory Environment of Business and Corporate Governance); RED 562 (Legal Issues in Real Estate Development for the Price School of Public Policy); and GSBA 535 (Business Law)

Taught 4 Courses: FBE 428 (Principles of Employment Law); and FBE 429 (International Business Law); FBE 558 (Legal and

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Spring 2019

Fall 2018

Spring 2018

Fall 2017

Summer 2017

Spring 2017

Fall 2016

Regulatory Environment of Business and Corporate Governance); and GSBA 537 (Employment Law and Business Ethics)

Summer 2016 FBE 558 (Legal and Regulatory Environment Taught 3 Courses: of Business and Corporate Governance); GSBA 535 (Business Law)

and GSBA 537 (Employment Law and Business Ethics)

Spring 2016 FBE 429 (International Business Law); FBE Taught 5 Courses:

557 (Business Law and Ethics); and FBE 558 (Legal and Regulatory Environment of Business and Corporate Governance); RED 562 (Legal Issues in Real Estate Development for the Price School of

Public Policy); and GSBA 535 (Business Law)

Introduction to the Legal Fall 2015 Taught 4 Courses: FBE 403:

Environment of Business; FBE 428 (Principles of Employment Law); FBE 558 (Legal and Regulatory Environment of Business and Corporate Governance); and GSBA Employment Law and Business

Ethics (Employment Law and Business Ethics)

FBE 588 (Advanced Real Estate Law); and Summer 2015 Taught 2 Courses:

FBE 557 (Business Law and Ethics)

FBE 429 (International Business Law); FBE Spring 2015 Taught 4 Courses:

> 557 (Business Law and Ethics); FBE 558 (Legal and Regulatory Environment of Business and Corporate Governance); and, RED 562 (Legal Issues in Real Estate Development for the Price School

of Public Policy)

FBE 428 (Principles of Employment Law); Fall 2014 Taught 3 Courses:

> FBE 557 (Business Law and Ethics); and, FBE 558 (Legal and Regulatory Environment of Business and Corporate Governance)

FBE 588 (Advanced Real Estate Law); and, Summer 2014 Taught 2 Courses:

FBE 557 (Business Law and Ethics)

FBE 429 (International Business Law); FBE Spring 2014 Taught 4 Courses:

> 557 (Business Law and Ethics); FBE 558 (Legal and Regulatory Environment of Business and Corporate Governance); and, RED 562 (Legal Issues in Real Estate Development) for the Price School

of Public Policy

Fall 2013 Taught 2 Courses: FBE 428 (Principles of Employment Law);

and, FBE 429 (International Business Law)

FBE 588 (Advanced Real Estate Law) (This Summer 2013 Taught 3 Courses:

was an online version of the course, which I developed during

Spring 2013); FBE 557 Business Law and Ethics; and FBE 558 Legal and Regulatory Environment of Business and Corporate Governance

Spring 2013 Taught 4 Courses: FBE 403 Legal and Regulatory Environment

of Business); FBE 429 (International Business Law); FBE 557 (Legal and Regulatory Environment of Law); and, FBE 558 Legal

Environment of Business Governance

Fall 2012 Taught 3 Courses: FBE 428 (Principles of Employment Law);

FBE 458 (Finance and Law); and, FBE 429 (International Business

Law)

Summer 2012 Taught 1 Course: FBE 588 (Advanced Real Estate Law)

Spring 2012 Taught 3 Courses: FBE 428 (Principles of Employment Law);

FBE 458 (Finance and Law); and FBE 429 (International Business

Law)

Fall 2011 Taught 3 Courses: FBE 428 (Principles of Employment Law);

FBE 458 (Finance and Law); and, FBE 429 (International Business

Law)

Summer 2011 Taught 1 Course: FBE 588 (Advanced Real Estate Law)

Spring 2011 Taught 2 Courses: FBE 458 (Finance and Law); and, FBE 429

(International Business Law)

Fall 2010 Taught 4 Courses: FBE 403 Mega Section (Legal and

Regulatory Environment of Business); FBE 428 (Principles of Employment Law); FBE 458 (Finance and Law); and FBE 427

(Real Estate Law)

Summer 2010 Taught 1 Course: FBE 588 (Advanced Real Estate Law)

Spring 2010 Taught 3 Courses: FBE 427 (Real Estate Law); FBE 429

(International Business Law); and FBE 458 (Finance and Law)

Fall 2009 Taught 5 Courses: BUAD 403 Mega Section (Legal and

Regulatory Environment of Business); FBE 428 (Principles of Employment Law); FBE 458 (Finance and Law); FBE 427 (Real Estate Law) and FBE 557 (Legal and Regulatory Environment of

Law)

Summer 2009 Taught 1 Course: FBE 588 (Advanced Real Estate Law)

Spring 2009 Taught 5 Courses: FBE 427 (Real Estate Law), FBE 428

(Employment Law), FBE 429 (International Business Law), FBE 458 (Finance and Law), and FBE 588 (Advanced Real Estate Law)

Fall 2008 Taught 5 Courses: BUAD 403 Mega Section (Legal and

Regulatory Environment of Business); FBE 428 (Principles of Employment Law); FBE 458 (Finance and Law); FBE 427 (Real Estate Law) and FBE 557 (Legal and Regulatory Environment of

Law)

Summer 2008 Taught 1 Course: FBE 588 (Advanced Real Estate Law)

Spring 2008 Taught 5 Courses: FBE 427 (Real Estate Law), FBE 428

(Employment Law), FBE 429 (International Business Law), FBE

458 (Finance and Law), and FBE 588 (Advanced Real Estate Law)

Fall 2007 Taught 5 Courses: BUAD 403 (Legal and Regulatory

Environment of Business); FBE 428 (Principles of Employment Law); FBE 458 (Finance and Law); FBE 427 (Real Estate Law) and

FBE 557 (Legal and Regulatory Environment of Law)

Summer 2007 Taught 1 Course: FBE 588 (Advanced Real Estate Law)

Spring 2007 Taught 5 Courses: FBE 427 (Real Estate Law), FBE 428

(Employment Law), FBE 429 (International Business Law), FBE 458 (Finance and Law), and RED 562 (Legal Issues in Real Estate

Development).

Fall 2006 Taught 5 Courses: BUAD 403 (Legal and Regulatory

Environment of Business); FBE 428 (Principles of Employment Law); FBE 458 (Finance and Law); FBE 427 (Real Estate Law) and

FBE 557 (Legal and Regulatory Environment of Law)

Summer 2006 Taught 1 Course: FBE 588 (Advanced Real Estate Law)

Spring 2006 Taught 5 Courses: FBE 428 (Principles of Employment Law),

FBE 427 (real estate law), FBE 458 (Finance and the Law), FBE 429 (International Business Law) and FBE 588 (Advanced Real

Estate Law)

Fall 2005 Taught 6 Courses: BUAD 403 (Legal Environment of

Business), FBE 427 (real estate law), FBE 458 (Finance and the Law), 428 (Principles of Employment Law, 557 (Legal and Regulatory Environment of Law), and new online course Legal Environment of Long-Term Care for School of Gerontology

Developed and obtained approval for new employment law course FBE 428; in Spring 2006 obtained diversity credit university designation for course.

Summer 2005 Taught 1 Course: FBE 558 (Advanced Real Estate Law)

Spring 2005 Taught 3 Courses: Taught one mega section of BUAD 403

(Legal Environment of Business). FBE 458 (Finance and Law) and

FBE 427 (Real Estate Law)

Summer 2004 <u>Taught 2 Courses:</u> FBE 557 (Legal and Regulatory Environment

of Business), FBE 588 (Advanced Real Estate Law). Led a course

at the Ross Minority Real Estate Development Program

Fall 2002 Taught 3 Courses: Taught one mega section of BUAD 403

(Legal Environment of Business). FBE 458 (Finance and Law),

and a new course FBE 427 (Real Estate Law)

Spring 2002 Taught 2 Courses: Taught one mega section of BUAD 403

(Legal Environment of Business). Taught a new course FBE 458

(Finance and Law)

Fall 2001 Taught 1 Course: Taught one mega section of BUAD 403

(Legal Environment of Business)

1999-2001 Lecturer, California State University at Long Beach. Taught

advanced business law class each semester (Finance 320). I taught advanced business law in the Graduate School of the College of Business Administration (FBE 520). Also taught real estate principles (Finance 340) which focused upon real estate finance and financial instruments pertaining thereto. Outstanding student evaluations. Ranked first among part-time instructors, second

among all department faculty members.

# Professional and Scholarly Activities/Publications:

Fields, C. K. (2024). Contemporary Employment Law --Fifth

edition. D. Clinton (Ed.), 18 chapters (Fifth Edition ed., pp. 752

pages). Aspen Publishing, Boston, Ma.

See, https://aspenpublishing.com/products/fields-

contemporaryemployment5.

#### **Table of Contents**

Part I: The Employment Relationship

Chapter 1: Employment Law Overview

Chapter 2: Managing Risk from Recruitment Through Termination

Chapter 3: Contract and Tort Claims in Employment

Chapter 4: Equal Employment Opportunity Laws and Affirmative Action

Chapter 5: Employment Litigation and Dispute Resolution

Part II: Workplace Discrimination

Chapter 6: Race, Color, and National Origin Discrimination

Chapter 7: Discrimination and Harassment Based Upon Gender, Sexual

Orientation, and Gender Identity

Chapter 8: Religious Discrimination

Chapter 9: Disability Discrimination

Chapter 10: Age Discrimination

Chapter 11: Employee Leaves and Other EEO Protections

Part III: Employee Protections and Benefits

Chapter 12: Privacy in the Workplace

Chapter 13: Federal Labor Relations

Chapter 14: Wage and Hour Laws

Chapter 15: Occupational Safety and Workers' Compensation

Chapter 16: Retirement and Employee Benefits

Part IV: Special Topics

Chapter 17: Immigration Law in the Workplace

Chapter 18: The Multinational Employer

Glossary

Table of Cases

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Book, Textbook-Revised (Published September 2023)

Fields, K. C., Fields, C. K. (2023). In D. Clinton (Ed.), Essentials of Real Estate Law, Second Edition (Second ed., pp. 672). Aspen Publishing, Boston, Ma.

See, https://aspenpublishing.com/products/fields-real-estate 2e.

#### **Table of Contents**

Part I: Real Property Interests

Chapter 1: Introduction to Real Estate Law

Chapter 2: Nuisance, Trespass, and Duties to Third Persons

Chapter 3: Easements, Licenses, and Profits

# Chapter 4: Surveys and Legal Descriptions of Land

Part II: Purchasing, Financing, and Conveying Real Estate

Chapter 5: Agency and Real Estate Brokers

Chapter 6: The Purchase Contract

Chapter 7: Valuation and Financing of Real Estate

Chapter 8: Deeds

Chapter 9: Title Examinations and Title Insurance

Chapter 10: Real Estate Closings

Chapter 11: Real Estate Closing Documents and Forms

Chapter 12: Defaults, Workouts, and Foreclosures

Part III: Owning and Operating Real Estate

Chapter 13: Ownership of Real Property

Chapter 14: Real Estate Taxation, Zoning, and Land-Use Issues

Chapter 15: Multiunit Dwellings

Chapter 16: Residential and Commercial Leases

Chapter 17: Construction Law and Remedies

## Book, Textbook-Revised (Published March 2023)

# Fields, K. C., Fields, C. K. (2023). Contemporary Real Estate Law, 3rd Edition. In D. Clinton (Ed.), Contemporary Real Estate Law (Third Edition ed., pp. 700). Boston, Ma.

See, <a href="https://aspenpublishing.com/products/fields-realestate3">https://aspenpublishing.com/products/fields-realestate3</a>

#### **Table of Contents**

PART I: Real Property Interests

Chapter 1: Introduction to Real Estate Law

Chapter 2: Real Property Rights and Interests

Chapter 3: Easements and Other Nonpossessory Rights

Chapter 4: Fixtures and Secured Transactions

Chapter 5: Real Property Estates and Interests

Chapter 6: Land Descriptions

PART II: Purchasing, Financing, and Conveying Real Estate

Chapter 7: Agency and Real Estate Brokers

Chapter 8: The Purchase Contract

Chapter 9: Valuation and Financing of Real Estate

Chapter 10: Deeds, Title, and Closing

Chapter 11: Defaults, Workouts, and Foreclosures

PART III: Owning, Operating, and Insuring Real Estate

Chapter 12: Ownership of Real Property

Chapter 13: Multiunit Dwellings and Property Management

Chapter 14: Residential and Commercial Leases

Chapter 15: Insuring Real Property

Chapter 16: Transfers by Operation of Law and Gift

Chapter 17: Transfers After Death: Wills, Intestacy, Trusts, and Probate

PART IV: Special Topics

Chapter 18: Zoning and Land Use Issues

Chapter 19: Real Estate Taxation

Chapter 20: Construction Law and Remedies

Chapter 21: Bankruptcy and Restructuring Transactions

Chapter 22: Environmental Law in Real Estate Transactions

#### Book, Textbook-New (Published September 2022)

Fields, K. C., Fields, C. K. (2022). The Legal Environment of Business, First Edition. In D. Clinton (Ed.), The Legal Environment of Business, First Edition (First Edition ed., pp. 854). Boston, Ma.

See, https://aspenpublishing.com/products/fields-realestate3.

#### **Table of Contents**

Part I – Introduction to Law

Chapter 1: The Legal Environment in Business: Laws and Regulations

Chapter 2: Business Ethics

Chapter 3: Courts, Litigation and Alternative Dispute Resolution

Chapter 4: Constitutional Law for Businesses

Chapter 5: Torts

Chapter 6: Strict and Products Liability

Chapter 7: Intellectual Property

Chapter 8: Criminal Law

Part II – Contracts

Chapter 9: Contract Formation

Chapter 10: Contract Performance and Breach

Part III - Agency and Employment Law

Chapter 11: Agency Relationships

Chapter 12: Employment, Immigration, and Labor Law

Chapter 13: Employment Discrimination

Chapter 14: Privacy in the Workplace

Part IV – Business Organizations

Chapter 15: Forming the Small Business and Operating Franchises

Chapter 16: Partnerships and Limited Liability Companies

Chapter 17: Corporations and their Governance

Chapter 18: Securities Laws and Investor Protection

#### Chapter 19: Mergers and Acquisitions

Part V - Commercial Law and Transactions

Chapter 20: The Law of Sales and Warranties

Chapter 21: Creditors' Rights and Secured Transactions

Chapter 22: Bankruptcy

Part VI – Government Regulation

Chapter 23: Antitrust Law

Chapter 24: Consumer Laws and Regulations

Chapter 25: Environmental Law

Part VII – Special Topics

Chapter 26: Property: Real and Personal

Chapter 27: Administrative Law

Chapter 28: International Business Transactions

#### 2021 Book, Textbook-New (Published September 2022)

Contemporary Business Law ISBN: 9781543845938 Aspen Publishing, a 43-chapter book written with my co-author, Kevin C. Fields. It took many years of preparation. I also co-wrote the 43-chapter test bank and assisted in the preparation of the PowerPoints. In addition, I wrote with my co-author the Study Center, which is a separate testing center for online use. This is a 1280-page textbook in national distribution. The hours invested exceeded more than 1000 hours over the last 6 years. https://aspenpublishing.com/products/fields-contemporarybusinesslaw.

The table of contents for this book is:

Part I – Introduction to Law

Chapter 1: The Legal Environment in Business – Laws and

Regulations

Chapter 2: Business Ethics

Chapter 3: Courts, Litigation and Alternative Dispute Resolution

Chapter 4: Constitutional Law for Businesses

Chapter 5: Torts and Strict Liability

Chapter 6: Products Liability
Chapter 7: Intellectual Property

Chapter 8: Criminal Law

#### Part II – Contracts

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Chapter 9: Nature and Formation of Contracts
Chapter 10: Consideration and Promissory Estoppel
Chapter 11: Capacity and Legality of Subject Matter
Chapter 12: Defenses to Formation: Mistake, Fraudulent

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Misrepresentation, Undue Influence and Duress

Chapter 13: Writings, Parol Evidence, and Third-Party Rights Chapter 14: Performance, Discharge, Breach, and Remedies

Part III - Agency and Employment Law

Chapter 15: Agency Relationships
Chapter 16: Employment Regulation
Chapter 17: Employment Discrimination
Chapter 18: Privacy in the Workplace

Part IV – Business Organizations

Chapter 19: Forming the Small Business and Operating Franchises

Chapter 20: Partnerships

Chapter 21: LLCs and Other Business Organizations
Chapter 22: Formation and Financing of Corporations
Chapter 23: Directors, Officers, and Shareholders

Chapter 24: Mergers and Acquisitions

Chapter 25: Securities Law and Investor Protection

Part V – Commercial Law and Transactions

Chapter 26: Formation of Sales and Lease Contracts

Chapter 27: Title and Risk of Loss

Chapter 28: Performance and Breach of Sales and Lease Contracts Chapter 29: Negotiable Instruments: Creation, Transferability, and

Liability

Chapter 30: Banking

Chapter 31: Creditors Rights and Secured Transactions

Chapter 32: Financing, Defaults and Foreclosures of Real Property

Chapter 33: Bankruptcy

Part VI – Government Regulation

Chapter 34: Administrative Law

Chapter 35: Antitrust and Unfair Competition Chapter 36: Consumer Laws and Regulations

Chapter 37: Environmental Law

Part VII - Property

Chapter 38: Personal Property Law and Bailments
Chapter 39: Real Property and Landlord-Tenant
Chapter 40: Wills, Intestacy, Trusts and Probate

Part VIII – Special Topics

Chapter 41: Insurance

Chapter 42: Duties and Liabilities of Accountants Chapter 43: International Business Transactions

2021 Published September 15, 2021: Contemporary Employment Law, Fourth Edition, Aspen Publishing, ISBN: 9781543849998 It is an 18-chapter book written entirely by me (Professor Cheeseman has not participated). It took many years of preparation. Over one-third of the material in the text reflected changes in the law. I also updated the test bank and assisted in the preparation of the PowerPoints. It is a 777-page textbook in national distribution. Hours spent in the revision 184 hours during 2021-2022. https://www.aspenpublishing.com/Fields-ContemporaryEmployment4 2021-2022 Preparation of Study Center for Aspen Publishing, which is a separate testing center for online use. 2021 Book, Textbook-New Fields, C. Kerry, Fields, Kevin C. (2022). B. Kenny (Ed.), The Contemporary Legal Environment of Business For Aspen Publishing (formerly Wolters Kluwer) Continued preparation of business law text Contemporary Business 2019-2020 Law, Wolters Kluwer. Continued preparation of fourth edition of Contemporary Employment Law for Wolters Kluwer. Continued preparation of business law text for Wolters Kluwer. 2017-2019 Executed a contract with Kevin C. Fields of Marshall to prepare a legal environment textbook. Published March 1, 2018, Contemporary Employment Law, 3rd 2018 Edition. 18 Chapter revision and 804 pages in length. ISBN: 9781454873433. Revisions prepared by C. Kerry Fields included a new test bank, 185-page Instructor's Manual, and revised PowerPoints. https://www.wklegaledu.com/Fields-Employment3 Published, Contemporary Real Estate Law, 2nd with co-author FBE 2018 Asst. Professor Kevin C. Fields. The textbook has 22 chapters and 603 pages. ISBN: 9781454899334. The test bank, PowerPoints and Instructor's Manual was also

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prepared by the authors and published in 2018.

https://www.wklegaledu.com/Fields-RealEstate2

2016

Published, Essentials of Real Estate Law, with co-author FBE Asst. Professor Kevin C. Fields. The textbook has 17 chapters and 675 pages. ISBN: 9781454856054. The test bank, PowerPoints and Instructor's Manual was also prepared by the authors and published in 2016.

http://www.wklegaledu.com/aspen-paralegal-series/id-9781454856054/Essentials of Real Estate Law.

Published, Contemporary Employment Law, 3<sup>rd</sup> Edition. 18 Chapter revision and 804 pages in length. ISBN: 9781454873433. Revisions prepared by C. Kerry Fields included a new test bank, 185-page Instructor's Manual, and revised PowerPoints.

#### https://www.wklegaledu.com/Fields-Employment3

Commenced the preparation of a new business law text for Wolters Kluwer. Projected publication date: Fall 2020.

Developed and recorded lectures at Marshall production studio Employment Law and Ethics component for OMBA GSBA 537.

2015

Continued writing and editing of real estate law text and third edition of employment law text.

Developed and recorded lectures at Marshall production studio Business law/contracts component for OMBA GSBA 535.

2014

Commenced the writing of a new real estate law text for community college programs across the United States. Co-author, Kevin C. Fields. Began the development of PowerPoints, instructor's manual and test bank for this textbook.

Commenced the revision for the third edition of *Contemporary Employment Law* for Wolters Kluwer.

Completed the first edition of *Contemporary Real Estate Law*. Text with co-author Assistant Professor of Clinical Finance and Business Economics, Kevin C. Fields. Published by Wolters Kluwer Law & Business, ISBN: 978-1-4548-1642-3 (2014). 665 pages. Completed the production of the ancillary materials including the test bank and PowerPoint slides.

Updated resources for the revision of the second edition of Contemporary Real Estate Law.

2013	Completed the Second Edition of <i>Contemporary Employment Law</i> . Published by Wolters Kluwer Law & Business, ISBN: 978-1-4548-1804-5 (2013). Revised the ancillary materials including the test bank and PowerPoint slides.
	Contracted with Wolters Kluwer to prepare a 500-page textbook about real estate law. Co-author Assistant Professor of Clinical Finance and Business Economics, Kevin C. Fields. Intended audience: community college market.
2011-2012	Working on Second Edition of my textbook <i>Contemporary Employment Law</i> . I wrote the ancillary materials including the test bank and PowerPoint slides.
	Writing another textbook with Kevin C. Fields, <i>Contemporary Real Estate Law</i> and the ancillary materials including the test bank and PowerPoint slides.
2010	Published Contemporary Employment Law (ISBN: 978-0-7355-9644-3) by Aspen Publishers, Wolters Kluwer, 765 pages. Book is introduced at national conference for business law instructors, Academy for Legal Studies in Business, Richmond, Virginia. Book provides thorough coverage of all major aspects of employment and discrimination law from hiring, promotion and termination of employment. Sets forth management applications, hypotheticals and sample forms, including focus on ethics. Published for the undergraduate and graduate business curriculums, use in paralegal and law school programs and courses.
2010	Supervised the production of the Instructor's Manual for Contemporary Employment Law text. Aspen Publishers, Wolters Kluwer, 287 pages (ISBN: 978-0-7355-9645-0).
2010	Created and published PowerPoints and Test Bank for Contemporary Employment Law text. 1600 question test bank, including multiple choice, true false and short essay.
2010	Created and submitted proposal for publication of textbook for Real Estate law by Aspen Publishers, Wolters Kluwer.
2009	Executed contract to deliver <i>Employment Law for the 21<sup>st</sup> Century</i> with Aspen Publishers, a division of Wolters Kluwer.

Marshall minor, Minor in Business Law.

2005

Developed and managed the submission and approval of a new

2003 Developed an on-line course in business law for Master's in Long Term Care Administration for a joint program sponsored by Schools of Gerontology, Business and Public Policy and Development. Curricula developed with Henry Cheeseman as co-developer. 2002 Commenced the writing of a textbook on the subject of employment law with Henry Cheeseman (formerly of FBE). 2003 Reviewed various chapters of textbooks submitted for critical review by West Publishing and Prentice Hall. 1976 Board of Editors, Santa Clara Law Review Editor, law school newspaper that won American Bar Assn. award Other University experience/service 2004-2025 Reappointed as Chair of Marshall Committee on RTPC Faculty Promotion. Seventeen Fourth Year Reviews and Promotions handled by committee. Appointed to USC Faculty Affairs Committee. Participated in 2024-2025 extensive discussions relating to promotion processes across university units. 2023-2024 Chair, Marshall Committee on RTPC Faculty Promotion. Led and participated in clinical faculty Fourth Year Reviews and promotion recommendations to the Vice Dean of Marshall School of Business. Participated in making recommendations related to the hiring and promotional decisions for new and current faculty across all school departments. Prepared the reports of the Committee with respect to Professors Andrew Ogilvie, Yiia Guo; Feng Chen, Rahsan Akbulut,

2023-2024 <u>Member, Academic Senate Faculty Affairs Committee, and Subcommittee: Research, Teaching, Practitioner, and Clinical</u>

Arianna Uhalde-Kelm, and Lars Perner.

Track Faulty Affairs

Engaged in subcommittee addressing job security of non-tenured faculty at the university.

2022-2023 <u>Chair, Marshall Committee on RTPC Faculty Promotion</u>. Led and participated in clinical faculty retention and promotion recommendations to the Vice Dean of Marshall School of Business.

Participated in making recommendations related to the hiring and promotional decisions for new and current faculty across all school departments. Prepared the reports of the Committee.

2022-2023 Member, University Task Force on Annual/Merit Review

Participated in merit review processes and implementation of new applications for non-tenured faculty at the university. Final report submitted to the Academic Senate, April 2023.

2022-2023 <u>Member, Academic Senate Subcommittee: Research, Teaching,</u>
Practitioner, and Clinical Track Faulty Affairs

Engaged in subcommittee addressing job security of non-tenured faculty at the university. Prepared a report on similarly situated faculty at peer institutions.

2021-2022 <u>Chair, Marshall Committee on RTPC Faculty Promotion</u>. Led and participated in clinical faculty retention and promotion recommendations to the Vice Dean of Marshall School of Business.

Participated in making recommendations related to the hiring and promotional decisions for new and current faculty across all school departments. Prepared the reports of the Committee.

2021-2022 Member, University Task Force on Annual/Merit Review

Participated in merit review processes and implementation of new applications for non-tenured faculty at the university.

2021-2022 <u>Member, Academic Senate Subcommittee: Research, Teaching, Practitioner, and Clinical Track Faulty Affairs</u>

Engaged in subcommittee addressing job security of non-tenured faculty at the university.

2020-2021 <u>Member, Marshall Committee on RTPC Faculty Promotion.</u>
Participated in promotion decisions concerning Professors Napoli,
Hansen, Wong, Vyas, Maslova, and, Giaquina, Wrote the committee
recommendation with respect to one candidate.

Also participated in third year reviews for all clinical professors across all departments.

<u>Co-Chair, FBE Clinical APR Committee with Baizhu Chen</u> (Reappointment).

2019-2020

Member, Marshall Committee on RTPC Faculty Promotion. Participated in promotion decisions concerning Professors Randhawa, Suh, El Haddad, Plotts, Grossman, Bayiz, and Jaconi.,

Member, University Faculty Environment and Employment
Committee (Re-appointment). Was responsible for Committee and,
Faculty Senate began to address caretaking issues for elderly family
members for which I was the advocate.

Co-Chair, FBE Clinical APR Committee with Baizhu Chen (Reappointment).

2018-2019

Member, Committee on RTPC Faculty Promotion. Participated in the promotion decisions concerning Professors Akbulut, Byars, and Sharif. Wrote the committee recommendation with respect to one candidate

Member, University Faculty Environment and Employment Committee (Re-appointment). Responsible for Faculty Senate consideration of caretaking benefits for university employees.

<u>Co-Chair, FBE Clinical APR Committee with Baizhu Chen</u> (Reappointment).

2018

<u>Co-Chair, FBE Clinical APR Committee with Baizhu Chen</u> (Reappointment).

Member, FBE Electives Curriculum Committee (Re-appointment).

Member, University Faculty Environment and Employment
Committee (Re-appointment). Attended five meetings per semester.
Developed an issue for consideration—caregiver resources for faculty and staff.

Prepared letters of recommendation for graduate school for 7 students.

2017

<u>Co-Chair, FBE Clinical APR Committee with Baizhu Chen</u> (Reappointment).

Member, FBE Electives Curriculum Committee (Re-appointment)

Member, University Faculty Environment and Employment
Committee (Re-appointment). Attended five meetings per semester.

	Prepared letters of recommendation for graduate school for 9 students.
2016	Co-Chair, FBE Clinical APR Committee with Baizhu Chen (Reappointment)
	Member, FBE Electives Curriculum Committee (Re-appointment)
	Member, PEG (promotion) committee for Fatemeh Ibrahimi- Nazarian, Fatemeh
	Member, University Faculty Environment and Employment Committee. Attended five meetings per semester.
2015	Member, FBE Clinical APR Committee with Professors Baizhu Chen and Suh-Pyng Ku (Re-appointment)
	Member, FBE Electives Curriculum Committee (Re-appointment)
	Member, University Faculty Environment and Employment Committee. Attended five meetings per semester.
	Prepared letters of recommendation for graduate school for 12+ students.
2014	Member, FBE Electives Curriculum Committee (Re-appointment)
	Commented to University Graduate Curriculum Committee relative to evaluating a law course in the School of Dance.
2014	Member, FBE Electives Curriculum Committee (Re-appointment)
	Member, Online MBA Task Force Committee.
2014	Member, FBE Clinical APR with Professors Baizhu Chen and Suh- Pyng Ku (Re-appointment)
2014	Developed new 1.5-unit course International Business Law and Transactions. Approved by the Graduate Curriculum Committee and scheduled to be taught in spring 2016. (Class cancelled)
2014	Prepared videos for marketing of FBE electives course.
2013	Member, FBE Department Electives Curriculum Committee Analyzed FBE electives for elimination or suspension).

2013	Chairperson, PEG Committee for Robert Bridges promotion to Associate Professor of Clinical Finance and Business Economics. Prepared dossier and gathered supporting evidence for departmental and school clinical promotion committees.
2013	Member, FBE Curriculum Committee. Prepared elective guidebooks for distribution to undergraduates and MBA students FBE curricula.
2013	Member, PEG Committee for Ty Callahan promotion to Professor of Clinical Finance and Business Economics.
2013	Member, Departmental APR Committee for Clinical Faculty with Professors Baizhu Chen and Suh-Pyng Ku ((Re-appointment)
2013	Member, Marshall Committee on Clinical Faculty.
2013	Evaluator and Commentator to Marshall Undergraduate Committee. Evaluated two law related courses proposed by the new Kaufman School of Dance.
2013	Developed online course delivery platform for FBE 588, Advanced Real Estate Law. This course was delivered online during Summer 2013.
2013	Member, <u>Departmental committee for adoption of Minor in Finance</u> .
2012	Member, Marshall Committee on Clinical Faculty.
2012	2012 Member, Committee on developing and adopting Minor in Finance, Lloyd Levitin chair.
2012	Member, <u>Marshall Clinical Faculty APR Committee with</u> <u>Professors Baizhu Chen and Suh-Pyng Ku</u> .
2012	Faculty advisor, USC Journal of Law and Society.
2012	Prepared letters of recommendation for graduate school for 10 students.
2012	Attended both Marshall MBA electives nights, October 2012.
2012	Attended day long Marshall seminar for faculty, Teaching Critical Thinking.

2012	Attended sorority and fraternity functions on 4 occasions.
2011	Member, FBE Undergraduate Elective Committee.
2011	Prepared letters of recommendation for graduate school for 12+ students.
2011	Faculty advisor, USC Journal of Law and Society.
2011	Drafted legal agreements pro bono for USC Corporate Governance Summit 2011.
2011	Attended national convention for university business law instructors, Richmond, Virginia. Academy of Legal Studies in Business.
2011	Prepared letters of recommendation for 9 students.
2010	Organized and promoted the speaking engagement of His Excellency Ambassador Extraordinary and Plenipotentiary, Willie C. Gaa of the Republic of the Philippines to the United States, the Consul General to the United States, Mary Jo Bernardo Aragon, and Vice Consul San Agustin and Trade Representative Archimedes Gomez. These representatives met with Marshall graduate and undergraduate students following the speeches. Dean Ellis and Vice Provost Powell attended and hosted an affair for them.
2010	Moderator of panel, 46 <sup>th</sup> Annual Student-Executive Dinner, American Finance Association, The Jonathan Club, Los Angeles, Ca.
2010	Served as Faculty Advisor to the Marshall Business Law Association. Regularly met with the student leaders and arranged for guest speakers.
2010	Serve as faculty reference for former students who are seeking admission to the bar. In the past year, I wrote letters of reference to the committees of admission for New York, Michigan, California, Florida, Georgia, Illinois and Washington.
2010	Acted as mentor and career advisor to dozens of students related to graduate school opportunities and pursuit of business and legal profession careers. I wrote dozens of letters of recommendation for student admission to graduate school and served as academic reference for job applicants, including White House internships.

2010	Serve as faculty advisor to Marshall Business Casual lunches arranged by Marshall Office of Undergraduate Advising.
2010	Attended as faculty guest, scholarship dinners for the following Greek organizations: Delta, Delta, Delta; Alpha Delta Pi; Pi Kappa Alpha, Sigma Alpha Epsilon.
2009	Serve as faculty advisor to Marshall Business Casual lunches arranged by Marshall Office of Undergraduate Advising.
2009	Marshall faculty presenter, "Teaching Ethics at Marshall" Trojan Family Weekend.
2008-Present	Marshall Cohort Advisor, Business Law.
2008-2009	External Member PEG Committee for CMC.
2006-2010	Member, FBE Grade Appeals Committee.
2002-2007	Speaker, Careers at 4 for USC Placement office.
2003-2007	Director, USC Orange County Business Forum.
2004-2015	Advisor Pre-law fraternity Phi Alpha Delta (approximately 15 hours per year.
2003-2004	Appointed as faculty mentor to two cohorts of Marshall freshman admittees.

# Media Exposure

Wall Street Journal. May 2023. Provided 45-minute background on potential conflicts and ethical analysis to Erich Schwartzel of the WSJ regarding showrunner for Paramount+ show Yellowstone.

Reuters. November 7, 2022. Provided video interview on Elon Musk's message regarding voting Republican--it's effects upon advertisers, comparison of European vs. American online social media controls.

 $\frac{https://www.dailytelegraph.com.au/news/national/musk-election-tweets-may-be-bad-for-business-analyst/video/e588e2ef62e77bd89b0c1288ee78c82c.$ 

Los Angeles Times, March 12, 2022. Provided employment law background to reporter Ryan Faughnder for his article "Disney is

not alone. Young employees in revolt are holding bosses' feet to the fire".

Los Angeles Times, October 26, 2021, "A last-minute bankruptcy filing aims to block auction of 'The One' mega-mansion". I gave interview concerning the auction and quoted as USC Marshall professor. <a href="https://www.latimes.com/business/story/2021-10-26/nile-niami-developer-the-one-files-bankruptcy-auction">https://www.latimes.com/business/story/2021-10-26/nile-niami-developer-the-one-files-bankruptcy-auction</a>

Northern California Record. June 7, 2021, Amid reopening efforts, impact of state law mandating rehiring of displaced workers may be abated by worker shortage <a href="https://norcalrecord.com/stories/602358120-amid-reopening-efforts-impact-of-state-law-mandating-rehiring-of-displaced-workers-may-be-abated-by-worker-shortage</a>.

@TheWrap, May 10, 2021, Gave an extensive interview to reporter J. Clara Chan. <a href="https://www.thewrap.com/penguin-random-house-simon-schuster-deal-antitrust/">https://www.thewrap.com/penguin-random-house-simon-schuster-deal-antitrust/</a>.

Dot.LA, February 3, 2021. Gave an interview to reporter Francesca Billington concerning DOJ's investigation of SpaceX

Los Angeles Times, January 29, 2021. Gave interview to Samantha Masunaga regarding enforcement of subpoena against Space X.

Los Angeles Times, January 29, 2021. Follow up interview and newspaper quote on merger.

https://www.latimes.com/entertainment-arts/books/story/2021-01-29/authors-guild-urges-doj-to-stop-simon-schuster-penguin-random-house-merger

Fresno Bee, January 25, 2021. Gave extensive background interview to newspaper reporter Yesenia Amaro on duties of those who serve on a non-profit organization board.

KNX 1070 Radio, **December 14, 2020.** Gave on-air interview with Claudia Peschiutta concerning employers' right to compel employees to be administered the Covid19 vaccine.

Los Angeles Times, November 25, 2020. Penguin Random House to purchase Simon & Schuster in massive publishing deal. I gave an interview on the anti-trust implications of this acquisition. Quoted as USC Marshall professor.

https://www.latimes.com/entertainment-arts/books/story/2020-11-25/penguin-random-house-buys-simon-and-schuster

NorCal Record, June 7, 2020. Gave 30-minute interview to reporter Sarah Downey regarding SB 93, the right of recall. A California law that requires employers in certain industries to recall those workers laid off due to Covid-19 to be reinstated in order of prior seniority.

Los Angeles Times, March 13, 2020. Coronavirus reverses Disney's fortunes, for now.

Gave quotes as USC Marshall professor relating to forecasts of Disney's operating results.

https://www.latimes.com/entertainment-arts/business/story/2020-03-13/disneys-reversal-of-fortunes-coronavirus

Non-Profit Times, November 19, 2019. I gave 50-minute interview to reporter Richard H. Levey on rights and responsibilities of nonprofit institutions.

Pahrump Valley Times, September 27, 2019. New Interim Valley CEO to serve in dual role Discussed conflict of interest rules for water district manager-CEO.

https://pvtimes.com/news/new-interim-valley-ceo-to-serve-in-dual-role-75565/

The Economist, August 13, 2019. Quoted regarding Viacom and CBS merger.

https://www.economist.com/business/2019/08/14/viacom-and-cbs-agree-to-reunite

Digital Trends, July 30, 2019, What Sprint and T-Mobile's Merger Means for Consumers | Digital Trends

Gave extensive interview to Corey Gaskin, Assoc. Editor, on Sprint-T Mobile proposed merger relative to antitrust considerations and business ethics/governance issues related thereto. <a href="https://www.digitaltrends.com/mobile/what-sprint-and-t-mobile-merger-means-for-">https://www.digitaltrends.com/mobile/what-sprint-and-t-mobile-merger-means-for-</a>

consumers/?utm\_medium=push&utm\_source=1sig&utm\_campaig n=One%20Signal%20Icon%20Icon%20Icon%20Icon%20Icon%20Icon%20Icon%20Icon

AFP, French International News Agency/Manila Bulletin April 12, 2019, Academy warned against excluding Netflix from Oscars. Gave interview to Leo Mouren of APF, French news agency, on

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Sherman Act restraints of trade and Academy of Motion Pictures Arts and Sciences and Netflix non release in movie theaters ineligibility.

https://www.afp.com/en/news/717/academy-warned-against-excluding-netflix-oscars-doc-1fl9qu2.

republished: Manila Bulletin

https://entertainment.mb.com.ph/2019/04/16/academy-warned-against-excluding-netflix-from-oscars/.

The Hollywood Reporter March 13, 2019, Warner Media's Kevin Tsujihara Investigation Expected to Wrap Up Quickly: Can He Survive?

https://www.hollywoodreporter.com/features/warnermedias-kevin-tsujihara-investigation-expected-wrap-up-quickly-1194040.

Los Angeles Times November 29, 2018. Leslie Moonves, one of America' highest-paid CEOs, probably will walk away with nothing after clams. Article on issues relating to the CEO's alleged misbehavior. <a href="https://www.latimes.com/business/hollywood/la-fi-ct-moonves-severance-investigation-20181129-story.html">https://www.latimes.com/business/hollywood/la-fi-ct-moonves-severance-investigation-20181129-story.html</a>.

KCAL 9 and KCBS 2 (and network feed) October 4, 2018. Gave on camera interview relative to Elon Musk's insults to the SEC. <a href="https://losangeles.cbslocal.com/video/category/top-story/3947044-musk-taunts-sec-regulators/">https://losangeles.cbslocal.com/video/category/top-story/3947044-musk-taunts-sec-regulators/</a>.

Los Angeles Times. "With Leslie Moonves out, Shari Redstone emerges winner fight over control of CBS." September 11, 2018. Gave commentary as USC Marshall professor regarding Leslie Moonves departure from CBS.

http://www.latimes.com/business/hollywood/la-fi-ct-shari-redstone-moonves-20180911-story.html#.

Los Angeles Times "CBS and Shari Redstone in talks that could lead to CEO Leslie Moonves' departure." **September 5, 2018**. Quoted as USC Marshall professor regarding Viacom-CBS settlement talks. <a href="http://www.latimes.com/business/hollywood/la-fict-cbs-redstone-settlement-talks-20180905-story.html">http://www.latimes.com/business/hollywood/la-fict-cbs-redstone-settlement-talks-20180905-story.html</a>.

Los Angeles Times "Battle over control of CBS reignites questions about Sumner Redstone's capacity." August 8, 2018. Quoted as USC Marshall professor in interview regarding capacity of Sumner Redstone and Delaware Chancery Court striking of discovery responses in the Viacom-CBS case. <a href="http://www.latimes.com/business/hollywood/la-fi-ct-cbs-redstone-court-fight-20180808-story.html">http://www.latimes.com/business/hollywood/la-fi-ct-cbs-redstone-court-fight-20180808-story.html</a>.

Los Angeles Times "CBS' Moonves skirts explosive allegations, sticks to strong earnings on call with investors". August 2, 2018. Quoted as USC Marshall professor relative to commentary on CBS governance issue regarding Leslie Moonves.

http://www.latimes.com/business/hollywood/la-fi-ct-cbs-moonves-sex-harassment-earnings-20180802-story.html.

KNX 1070 Radio, short radio broadcast interview with reporter Claudia Peschiutta on the California Supreme Court ruling in Troester v. Starbucks decision relating to compensable time spent off the clock. July 26, 2018.

San Francisco Chronicle, "Intel CEO had an affair with an employee. Now he's out." **July 26, 2018.** Quoted about holding managers accountable for violating a non-fraternization policy. <a href="https://www.sfchronicle.com/business/article/Intel-CEO-resigns-after-past-consensual-affair-13013838.php">https://www.sfchronicle.com/business/article/Intel-CEO-resigns-after-past-consensual-affair-13013838.php</a>.

Santa Monica Daily Press. "Local liquor stores face lawsuit over male enhancement pills." **June 21, 2018**. Gave extensive legal analysis of unfair competition and products liability law to reporter investigating "enhancement" products sold at small retailers. <a href="http://smdp.com/local-liquor-stores-face-lawsuit-over-male-enhancement-pills/167057">http://smdp.com/local-liquor-stores-face-lawsuit-over-male-enhancement-pills/167057</a>.

Marketplace, "The drama just keeps coming at CBS and Viacom." May 17, 2018. Gave on air interview regarding control of CBS. <a href="https://www.marketplace.org/2018/05/17/business/drama-just-keeps-coming-cbs-and-viacom">https://www.marketplace.org/2018/05/17/business/drama-just-keeps-coming-cbs-and-viacom</a>.

Los Angeles Times, "Judge hits pause on CBS-Redstone brawl, halting changes to CBS board." May 16, 2018. Commented upon major changes desired by National Amusements at CBS. <a href="http://www.latimes.com/business/hollywood/la-fi-ct-cbs-redstone-court-20180516-story.html">http://www.latimes.com/business/hollywood/la-fi-ct-cbs-redstone-court-20180516-story.html</a>.

Los Angeles Times, "CBS chooses 'nuclear option' against Shari Redstone: It sues to thwart Viacom merger." May 14, 2018. Gave commentary regarding CBS suit against controlling shareholder National Amusements re CBS-Viacom merger situation. <a href="http://www.latimes.com/business/la-fi-ct-cbs-viacom-shari-redstone-20180514-story.html">http://www.latimes.com/business/la-fi-ct-cbs-viacom-shari-redstone-20180514-story.html</a>.

Los Angeles Times, "AT&T calls hiring Michael Cohen 'big mistake' and says its public policy chief will retire." May 11, 2018.

Quoted and identified as Marshall ethics professor within the story. <a href="http://www.latimes.com/business/la-fi-att-michael-cohen-20180511-story.html">http://www.latimes.com/business/la-fi-att-michael-cohen-20180511-story.html</a>.

Fox News, "Tom Brokaw scandal: NBC News accused of victim shaming, pressuring women to support star." May 2, 2018. I gave extensive interview to network on the ethical aspects of the subject. <a href="http://www.foxnews.com/entertainment/2018/05/02/tom-brokaw-scandal-nbc-news-accused-victim-shaming-pressuring-women-to-support-star.html">http://www.foxnews.com/entertainment/2018/05/02/tom-brokaw-scandal-nbc-news-accused-victim-shaming-pressuring-women-to-support-star.html</a>.

San Diego Union Tribune, "Does the U.S. government need to protect American tech companies?" March 11, 2018. I gave extensive interview to reporter on this topic. Published on the eve of the White House decision to not permit Broadcom to acquire Oualcomm.

http://www.sandiegouniontribune.com/business/technology/sd-fitech-protection-20180311-story.html.

Los Angeles Times, "Disney CEO Bob Iger expected to stay past 2019 if Fox deal happens." **December 6, 2017**. Quoted as USC Marshall professor with comments that Bob Iger would continue as a great integrator of business units following an acquisition from 21st Century Fox. <a href="http://www.latimes.com/business/hollywood/lafi-ct-disney-bob-iger-fox-20171206-story.html">http://www.latimes.com/business/hollywood/lafi-ct-disney-bob-iger-fox-20171206-story.html</a>.

Los Angeles Times, "Movie Producer Harvey Weinstein is fired from his company after sexual harassment allegations". October 9, 2017. Gave ethical commentary upon what the board of directors should do given the allegations made against a founder-shareholder. <a href="http://www.latimes.com/business/hollywood/la-fi-ct-harvey-weinstein-out-20171008-story.html">http://www.latimes.com/business/hollywood/la-fi-ct-harvey-weinstein-out-20171008-story.html</a>.

Marketplace Weekend, "Can HR tell you what not to do and say outside of the office?" August 18, 2017. I gave an in-studio interview on this topic following the Charlottesville, Va. demonstrations the week before.

http://capeandislands.org/post/can-hr-tell-you-what-not-do-and-say-outside-office#stream/0.

CBS This Morning-national feed, "Some Uber drivers say cheaper fares have them sacrificing sleep to earn more". July 12, 2017. Was on camera and identified as USC Marshall Professor relative to the lack of safety oversight by Uber over its driver workforce. <a href="http://www.cbsnews.com/news/uber-drivers-driving-tired-under-new-incentives">http://www.cbsnews.com/news/uber-drivers-driving-tired-under-new-incentives</a>.

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USA Today, "Some Uber drivers work dangerously long shifts". **July 10, 2017**. I was quoted as USC business law professor relative to the legal loopholes being pursued by Uber regarding classification of workers.

https://www.usatoday.com/story/money/cars/2017/07/10/some-uber-drivers-work-dangerously-long-shifts/103090682.

Louisville Courier-Journal, "Experts: U of L officials improperly invested own money in foundation-backed companies." July 6, 2017. Was quoted as USC Marshall professor on business ethics on whether an investment adviser should invest in the same projects recommended to University of Louisville officials.

#### http://www.courier-

journal.com/story/news/education/2017/07/06/experts-university louisville-officials-improperly-invested-own-money-foundation-backed-companies/452548001.

Insurance Journal, "Background." April 4, 2017. Provided second 1-hour interview and background material to journalist for a second article on the insurance industry's use of covenant not to compete clauses.

Insurance Journal, "Aon Gains Upper Hand in Non-Compete and Poaching Lawsuit vs. Alliant". March 22, 2017. Provided 1.5-hour interview and background material to journalist Don Jergler. Quoted several times as USC Marshall professor. <a href="https://www.insurancejournal.com/news/national/2017/03/22/4452">https://www.insurancejournal.com/news/national/2017/03/22/4452</a> 13.htm.

USC Annenberg, prepared commentary for media release on fake news. Disseminated to national news outlets, **December 12, 2016**.

Los Angeles Times, "AT&T and Time Warner hope to break the Cycle of Failed Mergers." October 27, 2016. Gave extensive interview and was quoted as USC Marshall professor, <a href="http://www.latimes.com/entertainment/envelope/cotown/la-et-ct-failed-mergers-att-time-warner-20161026-story.html">http://www.latimes.com/entertainment/envelope/cotown/la-et-ct-failed-mergers-att-time-warner-20161026-story.html</a>.

Variety, "AT&T-Time Warner Merger Would Face Extensive D.C. Scrutiny." October 22, 2016. Gave interview and was quoted as

USC Marshall professor. <a href="https://variety.com/2016/biz/news/att-time-warner-merger-regulatory-antitrust-1201896786/">https://variety.com/2016/biz/news/att-time-warner-merger-regulatory-antitrust-1201896786/</a>

Bloomberg, gave two extended interviews on the ethics of the operation of the Hershey trust. July 12, 2016.

Los Angeles Times, June 16, 2016. Gave continuing commentary to LA Times reporter Tyler Hersko on Viacom corporate governance issues.

Los Angeles Times, "Sumner Redstone moves to oust CEO Philippe Dauman, others from Viacom board." June 16, 2016. Quoted as USC Marshall professor.

http://www.latimes.com/entertainment/envelope/cotown/la-et-ct-sumner-redstone-board-moves-20160615-snap-story.html.

Los Angeles Times, May 23, 2016. "Shari Redstone emerges as a pivotal figure in the battle for Viacom." gave two background interviews to reporter Ryan Faughner.

http://www.latimes.com/entertainment/envelope/cotown/la-et-ct-shari-redstone-viacom-battle-20160522-snap-story.html.

Los Angeles Times, NY Bureau, gave 1.0-hour interview to reporter for business law and litigation approaches to the lawsuit filed by Bill Gross against Pimco and Allianz. **November 5, 2015**.

Los Angeles Daily Journal (National Legal Newspaper) Gave extensive interview to reporter for this paper regarding holding of federal court in Florida relative to federal regulation of homeopathic drugs. July 30, 2015.

Los Angeles Times, "Merger of Aecom and URS to create giant L.A. construction firm." July 31, 2014. Quoted several times as USC Marshall professor.

https://www.latimes.com/business/la-fi-aecom-merger-20140714-story.html#:~:text=Stockholders%20of%20URS%20will%20receive,the%20city%20of%20Los%20Angeles.

Southern California Public Radio, March 12, 2014. Gave live commentary on NPR affiliate regarding FTC investigation of Herbalife on the day the FTC issued a civil investigation subpoena.

KNBC Evening News (4, 6 and 11 p.m.) February 26, 2014 (TV broadcast). Gave interview with respect to the Unruh Civil Rights Act issues relating to The Abbey bar in West Hollywood, Ca.

Southern California Public Radio, February 12, 2013. Gave extensive on-air interview to reporter Wendy Lee regarding LA/Long Beach Harbors labor disputes.

Santa Monica Daily Press, January 10, 2013. Gave extensive interview regarding duty of good faith and fair dealing, open meeting laws regarding developer's lawsuit against the city.

Ventura County Star, "Smokers need not apply at Community Memorial Hospital." **December 31, 2012**. I gave the reporter the legal background on rights of privacy and First Amendment issues surrounding the rights of an employer to ban smoking among its employees. Was quoted several times and identified as a USC Marshall professor teaching employment law.

Southern California Public Radio, March 21, 2012. Gave live commentary on NPR affiliate, KPCC Patt Morrison show regarding the health claims of the corn industry's claim that corn syrup was actually corn sugar. Legal commentary on false advertising claims brought by the sugar industry.

ABC.com, "Gingrich says Credit Card Companies Could Help Immigration Fraud." February 9, 2012. Assisted Michael Ono, a reporter for ABC.com developing a story relating to Newt Gingrich proposal to transfer part of the responsibility for the U.S. immigration visa program to the private sector. Quoted as USC Marshall Professor.

Los Angeles Times "Charlie Sheen enters rehab, putting 'Two and a Half Men on hiatus." **January 29, 2011**. Provided quotes on ethics of CBS actions regarding the show. Quoted as USC Marshall professor.

KABC Evening News (4, 6 and 11 p.m.) May 26, 2010 (TV broadcast). Provided on camera television interview "Disney Assistant Arrested in Inside Info Scam." Provided on camera interview regarding insider trading allegations. Was quoted as USC Marshall professor.

Pasadena Star-News December 16, 2009. Executive Pay is Becoming a Public Matter. Quoted as USC Marshall professor on ethics of executive compensation.

Las Vegas Sun Foreclosure help could Hinge on Who Holds the Note. **June 2, 2009**. Quoted as USC professor regarding real estate foreclosures.

Asian Journal Republic of Philippines Registering Positive Growth. March 20, 2009. Article on international trade lecture organized for FBE 429 class.

Ventura County Star, Soaring Layoffs are Devastating for Employees and Employers. January 6, 2009. Provided legal commentary and background information for use in newspaper article.

CBS & KCAL Nightly News, (CBS & KCAL News – KCBS-TV & KCBS-TV) September 24, 2008. (TV broadcast). Provided on camera television interview on corporate management accountability for bank loan bailout bill before Congress.

Los Angeles Times, "Black Panther Battles Ethics." July 26, 2008. Provided an interview for the Times connected with the BET network. Ethics of head entertainment approving shows from his own production company for prime-time slots on his network.

The Los Angeles Downtown News, "Article". May 22, 2008. Provided two- and one-half hours of advice and opinion to reporter Anna Scott. Topic: the duties and responsibilities of developers relative to the HOAs they establish. Specific discussion of a developer who appears to be violating DRE regulations and the CC&Rs of the downtown loft building.

Riverside Press Enterprise, "Report casts doubt on proposed project." April 27, 2008. Provided 5 hours of background opinion and quotes to a reporter for this Inland Empire Paper. Topic: rights and duties of members of a public board of directors of a water district with apparent conflicts of interest in the awarding of contracts. I was quoted as USC Business School professor who teaches ethics.

Los Angeles Times, OC Edition, "Nurse to head UCI Program." March 20, 2008. Was the sole commentator upon the hiring of a new administrator for the scandal-ridden UCI Hospital. Provided

comments upon the appropriateness of the hire from a corporate ethics viewpoint.

Los Angeles Times, "NBC Drama Features Executive's Firm," **December 3, 2007**. Provided ethical commentary in this follow-up article for the LA Times relating to Ben Silverman's sale of his production company Reveille to NBC Universal. He controlled the production schedule of NBC before he sold the production company that placed all its shows on the NBC network.

Bloomberg.com, "JP Morgan Greek Tragedy Sets "Hero" Banker Against Old Bosses," November 10, 2007. Provided ethical commentary relative to the actions of JPMorgan relative to terminating employee for disclosing cost to Greek government for failing to disclose how transaction was to be handled.

11 PM News, (NBC Universal – KNBC-TV) November 3, 2007) (TV broadcast). Provided extensive legal analysis and background to news desk of KNBC-TV regarding the law of strict liability relating to the use and distribution of consumer products.

NBC Universal, "Background for news story." **November 3, 2007**. Provided insights into the law of strict liability relating to the use of consumer products.

Channel 5 10 PM News. September 5, 2007. Appeared as a live in studio guest on the topic of ethics and corporate responsibility relating to the Mattel toys recall.

USC Family Magazine, "Teaching of ethics at USC." July 2007. Provided extensive background material including lecture materials to author of pending magazine article concerning teaching of ethics in the curriculum. I had short quote in the article.

Los Angeles Times, "What will Ben Silverman think of next for NBC?" June 27, 2007. Provided ethics opinions and commentary regarding the new NBC programming chief whose own show is in a competing time slot on ABC television.

C/Net News. Com, "Overstock CEO reflects on Cramer Debacle.". March 29, 2007. Provided commentary to this Internet based news source regarding the naked options trading allegations stated by Overstock's CEO regarding hedge fund traders.

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Australian TV, "The trials of Enron." June 4, 2006. Appeared as an American business ethics professor on the Enron scandal on Australian news network. MSN 9

The New York Times, "Sticky Fingers in the Supply Closet." April 30, 2006. Offered ethics opinions in this New York Times article.

People Magazine, "Identity Crisis." March 20, 2006. Quoted on identity theft as a USC business law professor.

Health Care Renewal Blog, "hrenewal.blogspot.com/2006." **February 27, 2006**. Quoted on ethics in management of health care organization.

Fort Worth Star-Telegram, "CEO puts Radio Shack in bind." February 17, 2006. Gave quotes within the ethics article relating to Radio Shack's CEO behavior.

Los Angeles Times: Orange County Edition, "UC Irvine hospital probes for nepotism," **February 15, 2006**. Gave key quotations for LA Times articles relating to nepotism scandal at UCI Hospital.

Los Angeles Times, "UC Irvine Hospital's Diagnosis: Denial". **February 7, 2006**. Was quoted several times in lead article on the problems besetting hospital management at UCI Medical Center.

Marshall Magazine, "End of the affair? A court's sobering view of romance." October 2005. Was quoted on the ethical considerations facing management relating to office romances.

Moe Ansari's Market Wrap, "Daily Commentary." October 4, 2005. I was interviewed by an LA area radio program involving the reduction of health care benefits and General Motors' survival strategies.

BioWorld Today, "Congress calls for SEC probe on leaks from clinical trials". August 11, 2005. Presented an analysis of the ethical implications and legal liabilities of those clinicians who leak data to stock research analysts.

# **Expert Witness Activities**

Fall 2023

Acted as defense expert relating to successor liability relating to a privately held corporation. Retained by defense counsel Timothy McNeill of Law Office of Patrick J. Campell. Deposed and testified at trial as an expert witness. 11 Avalon v. Nathu Development-

Crown Pacific Plastering, Inc. Orange County Superior Court, Case No. 30-2018-01019987 CU-CD-CDX. Testimony admitted into evidence.

Fall 2023-2024

Acted as plaintiff's expert (corporate governance issues relating to a privately held corporation. Allegations of conversion, waste and breach of fiduciary duties). Retained by Eugene Hanrahan of Law office of Stewart Neuville. Deposition taken; trial testimony expected. *Textile 26, Inc. Elias Abginesaz v. Athletix Fabrics et al.* Los Angeles Superior Court, Case No. BC 720469.

Summer 2022

Acted as plaintiffs' expert (corporate governance issues relating to a privately held corporation). Retained by Wesierski & Zurek, LLP of Lake Forest, Ca. Deposition taken in Kadenwood, Inc. et al v. Glenn Russell, Eeric Johnson, Brian Newberry, and Elysium Asset Management, LLC and related cross complaint. JAMS Arbitration, Case No. 1200058540. Did not testify at arbitration.

Spring 2022

Acted as Plaintiff's expert (forms of agreement for corporate transactions relating to the purchase of associated goodwill). Deposition taken Spring 2022. Retained by Roland M. Juarez of Hunton Andrews Kurth LLP of Los Angeles, Ca. Matter resolved during trial, not called as a witness in this proceeding. CBIZ Benefits & Insurance Services, Inc. v. Neal Rubin, Millennium Corporate Solutions, LLC. Action No.: 30-2017-00917777-CU-BC-NJC.

Fall 2020

Acted as consultant to unfair competition claims in arbitration. The dispute involved Central Valley, California firm Munger Brothers against Erickson, an international agribusiness concern. Retained by claimant's attorney Tad Hoppe of Fresno, California.

**Summer 2018** 

Designated as a plaintiff's expert (ethics and corporate governance) in ICONLAB INC., a California corporation, ICON LAW GmbH, a German corporation, REPER-NN, Co. LTD, a Russian corporation v. Valeant Pharmaceuticals International, Inc., a Delaware corporation, Bausch & Lomb Incorporated, a New York corporation, Anadlu TipTeknolojileri Uretim Pazarlama Ithalat Ihracat Ticaret ve Sanayi Anonim Siketi, a Turkish corporation, and Does 1-20, inclusive. US District Court, Central District of California Case No. 8:16-cv-01321-JLS-KES. Retained by David Young of Los Angeles, Deposed by defendants' attorneys Hueston Hennigan, LLP of Los Angeles in August 2018.

Summer/Fall 2017

Designated by Ford Motor defense counsel in Ford F350 fraud litigation as business ethics expert. Designated in ten cases. Deposed in three cases. Cases settled prior to trial.

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# **Professional Development**

2023	Attended weeklong seminar with Association of Legal Studies in Business (ALSB), the pre-eminent organization for teaching professionals of business law in American and Canadian universities (13 hours of professional continuing education). San Diego, California.
2022	Jan. 2022, completed <u>6 hours</u> of California state bar MCLE in ethics, substance abuse and bias. Provider: Access MCLE.
2021	Attended 6 professional development courses in subject matters in which I teach. MCLE providers: Continuing Education of the Bar (California), Pacific Southwest Section of the American Business Law Association and American Bar Association. 13 hours.
2020	Attended online 7 professional development courses in subject matters which I teach. Providers: Continuing Education of the Bar (California) and American Bar Association.
2019	Attended weeklong seminar with Association of Legal Studies in Business (ALSB), the pre-eminent organization for teaching professionals of business law in American and Canadian universities (13 hours of professional continuing education). Quebec, Canada.
2019	Attended weekend seminar Pacific Southwest ALSB Annual Workshop for Business Law Instructors. 7.5 hours of professional continuing education. Palm Springs, California.
2018	California Continuing Education of the Bar (CEB). 30 hours of education relating to business, real estate and employment law, which are subjects which I teach. Various locations.
2018	Attended weekend seminar Pacific Southwest ALSB Annual Workshop for Business Law Instructors. <u>7.5 hours</u> of professional continuing education. Palm Springs, California.

# **Professional and Community Service**

2010		•		convention es, Richmond		
2010	Member,		-	Construction	n Law	Forum,

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2008	Advisor to the Planning Committee to the American Bar Assn.
2003-2008	Chairperson, Rosary Academy Consultancy Board. Acted as outside advisory board to a parochial high school.
2000-Present	Academy of Legal Studies in Business (Business law faculty association)
1995-2004	Chairperson of significant fundraising campaigns for two parochial high schools. Exceeded campaign targets and responsible for funding the construction of two campus science and library centers.
1988-2002	Active in the Boy Scouts of America, leadership at troop, district and Orange County Council levels.
1976-Present	Member of the California (#70818), American, Los Angeles County Bar Associations.
	Member, Construction Law Section, Div. 9 Subsection Board member

# **Awards and Professional Recognition**

2010-2024	AV Attorney rating, Martindale-Hubbell Law Directory. Peer reviewed status of being within top 5% of all U.S. lawyers for competency, ethics, and professionalism.
2016-2017	Dean's Award for Community Service
2008	Golden Apple Teaching Award, Marshall School of Business
2008	Last Lecture, USC Mortar Board.
2007	USC Parents Assn. Teaching and Mentoring Award recipient.
2006	USC Parents Assn. Teaching and Mentoring Award nominee.
2005	Evan C. Thompson Faculty Teaching and Learning Award recipient.
2003	Gamma Sigma Alpha Professor of the Year.
2001	Silver Beaver award recipient from the Orange County Council of the Boy Scouts of America in recognition of leadership and service. Highest distinguished service award a council may bestow upon a volunteer. From 1990 to 1998 was Scoutmaster of Troop 778 in Yorba Linda, Ca.

2000 Pelican Award, religious award for adult leaders of the Boy Scouts.

1975-76 Board of Editors, Santa Clara Law Review.

1969-73 Academic scholarship, USC all four years.

## **Other Activities**

2017-Present Act as designated business ethics and corporate governance expert

in litigation matters.

2008-Present Fields Law Group, LLP. Act as rainmaker for business, real estate,

transportation, and litigation law firm.

1976-2008 Shareholder and attorney in various small to medium sized Los

Angeles and Orange County law firms.