

MARK D. GRIFFITHS, Ph.D.,

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Nationality: U.S. Citizen, Canadian Citizen (dual status)

Academic Degrees

Ph.D., (Finance), University of Western Ontario, 1990

M.A., (Economics), University of Waterloo, 1984

M.B.A., (Finance), York University, 1982

B.A., (Administration), York University, 1978

B.A., (French), University of Western Ontario, 1974

Honors and Awards

- 2021 USASBE Conference Best Empirical Paper Award
- 2014 Farmer School of Business Senior Faculty Award for Research Excellence
- 2013 Founding Scholar, *Entrepreneurship Research Society*.
- 2011 BCERC Board of Reviewers Best Paper Choice for publication in *Frontiers of Entrepreneurship Research*.
- 2010 Outstanding Paper Award, Literati Network Awards for Excellence
- 2010 Berkley Center for Entrepreneurial Studies (NYU-Stern School of Business) Kauffman Foundation grant
- 2009 Berkley Center for Entrepreneurial Studies (NYU-Stern School of Business) Kauffman Foundation grant
- 2009 University of Otago William Evans Fellowship (Research)
- 2008 Queensland University of Technology, School of Management, Visiting (Research) Fellowship
- 2008 Nominated for Miami University ASG Professor of the Year Award
- 2001 Thunderbird Faculty Award (Innovative Teaching)
- 1999-2000 Thunderbird Research Grant
- 1999 Thunderbird Faculty Award (Publications)
- 1996-97 Canadian Studies Research Grant Program Award
- Nominated for the 1994 SFA Award for Outstanding Paper in Corporate Finance
- Nominated for the 1993 UWM School of Business Teaching Award
- Nominated for the 1993 Smith Breeden Associates Award (Outstanding Paper: *Journal of Finance*)
- 1992 AAll Award for Outstanding Paper in Investments (Eastern Finance Association)
- Canada International Development Agency (CIDA) Award
- Ontario Graduate Scholarship, 1988-1989
- 1988 Invitee to the FMA Doctoral Consortium
- Xerox Canada, Inc. Doctoral Fellowship, 1987-1988
- Plan for Excellence Doctoral Fellowship, 1986

University Experience

Aug 2016 – present	Adjunct Professor (Aug 2016 – July 2017), Senior Lecturer (Aug 2017 – June 2020), Adjunct Professor (Aug 2021 – present), Department of Finance & Business Economics, Marshall School of Business, University of Southern California.
Aug 2004 – Aug 2016	Jack Anderson Professor of Finance, Department of Finance, Farmer School of Business, Miami University, Oxford, Ohio 45056.
Sept 2009 – Aug 2010	Visiting Professor of Finance, New York University, Stern School of Business, 44 W. 4 th St., New York, NY 10012.
Winter 2009	William Evans Visiting Fellow, University of Otago, Dunedin, New Zealand.
June 1997 – Aug 2004	Associate Professor, Finance, Thunderbird, School of Global Management, 15249 N. 59 th Avenue, Glendale, Arizona.
2000 - 2003	Visiting (Sessional) Associate Professor, Finance, Helsinki Graduate School of Business, Institute for Public Policy Studies, Seoul, Korea.
Spring 2002	Halliburton Visiting Professor of Finance, Texas A&M University, Mays College of Business, College Station, Texas.
Aug 1996 - May 1997	Associate Professor, Finance, College of Business & Economics, Rauch Business Center, Lehigh University, Bethlehem, Pennsylvania.
Aug 1990 - May 1996	Assistant Professor, Finance, School of Business Administration, The University of Wisconsin-Milwaukee, Milwaukee, Wisconsin.
Aug 1989 - July 1990	Lecturer, Finance, School of Business Administration, The University of Wisconsin-Milwaukee, Milwaukee, Wisconsin.
July 1982 - Mar 1988	Lecturer, Corporate Finance, School of Business and Economics, Wilfrid Laurier University, Waterloo, Ontario, Canada. Part-time faculty 1986-88, Full-time faculty 1982-85.

Professional Experience

Summers 2012- 2020	Trainer for Investment Banking Analysts & Associates, ACF Consultants Ltd., London, United Kingdom. Also trainer for Intuition Knowledge Services, Dublin, Ireland.
Sept 1978 - July 1982	Special Investigations Officer, Ministry of Revenue, Toronto, Ontario, Canada.
Sept 1974 - Aug 1978	Cost Control Administrator, Rockwell International, Collins Canada Division, Toronto, Ontario, Canada.

Dissertation (1990)

Empirical Tests of Three Major Explanations for the Turn-Of-The-Year Effect: A Study of Systematic Changes in Investor Trading Behavior.

Finance Publications

Mark D. Griffiths, and Drew B. Winters, What is a High Quality Article in Finance and Which Journals Publish Them? *Forthcoming*, the *Journal of Financial Education*.

Mark D. Griffiths, Mike Mauldin and Drew B. Winters, 2021, Understanding the Reach of the SBA and Community Banks in Texas (Part Three of a Study of the Role of Community Banks in Texas), *Bankers Digest*. (Lead Feature Article) Available at <https://www.bankersdigest.com/understanding-the-reach-of-the-sba-and-community-banks-in-texas/>

Joshua Fairbanks, Mark D. Griffiths and Drew B. Winters, 2020, On the Use of the Daily Fama-French Risk-Free Rate, *Journal of Investment Management*, 19:3, 39-59.

Mark D. Griffiths, Mike Mauldin and Drew B. Winters, 2020, What was Different in the Second Round of PPP in Texas? (Part Two of a Study of the Role of Community Banks in Texas), *Bankers Digest*. (Lead Feature Article) Available at <https://bankersdigest.com/what-was-different-in-the-second-round-of-ppp-in-texas-part-two-of-a-study-of-the-role-of-community-banks-in-texas/>

Mark D. Griffiths, Mike Mauldin and Drew B. Winters, 2020, Did the Paycheck Protection Program (PPP) Funds get to Small Businesses? A Study of the Role of Community Banks in Texas, *Bankers Digest*. (Lead Feature Article) Available at: <https://bankersdigest.com/did-the-paycheck-protection-program-funds-get-to-small-businesses-a-study-of-the-role-of-community-banks-in-texas/>

Matthew Crook, Mark D. Griffiths and Brian Walkup, 2018-2019, The Case of the Unidentified Energy Companies, *Journal of Finance Case Research*, 18:1, 25-36 (published in 2020).

Belinda L Del Gaudio, Mark D Griffiths and Gabriele Sampagnaro, 2020, Soft information production in SME lending, the *Journal of Financial Research* 43:1, 121-151. First published online September 25, 2019. Early view version available at <https://onlinelibrary.wiley.com/doi/full/10.1111/jfir.12198>.

Joshua Fairbanks, Mark D. Griffiths and Drew B. Winters, 2019, Financial Crisis Solutions in the Commercial Paper Market: An Analysis of the CPFF and the TLGP, *Managerial Finance*, 45:2, 294-310.

Mark D. Griffiths, 2017, Vulture Funds and Sovereign Debt: The Argentine Dilemma, *Journal of Finance Case Research*, 17:1, 29-38.

Ken Cyree, Mark D. Griffiths and Drew B. Winters, 2017, Implications of a TAF Program Stigma for Lenders: The Case of Publicly Traded Banks versus Privately Held Banks, *Review of Quantitative Finance and Accounting*, 49:2, 545-567.

Finance Publications (cont'd)

Ken Cyree, Mark D. Griffiths and Drew B. Winters, 2016, U.S. Bank Lending in the Post-Crisis World, *Journal of Financial Research*, 39:4, 389-410.

Ozgur Akay, Mark D. Griffiths and Drew B. Winters, 2015, Changing Rule 2a-7 and the Management of Money Market Mutual Funds, *Journal of Applied Finance*, 25:1, 6-20. (Lead article)

Ozgur Akay, Mark D. Griffiths, Drew B. Winters, 2015, Reserve Primary: Fools Rush in Where Wise Men Fear to Tread! *Journal of Investment Management*, 13:1, 10-26.

Kyle Allen, Mark D. Griffiths, Scott E. Hein and Drew B. Winters, 2014, Why is the Effective Fed Funds Rate Below the Theoretical Floor? *Journal of Applied Finance*, 24:2, 61-69.

Ken Cyree, Mark D. Griffiths and Drew B. Winters, 2013, Federal Reserve Financial Crisis Lending Programs and Bank Stock Returns, *Journal of Banking and Finance*, 37:10, 3819-3829.

Ozgur Akay, Mark D. Griffiths, Vladimir Kotomin and Drew B. Winters, 2013, A Look Inside AMLF: What Traded and Who Benefited, *Journal of Banking and Finance*, 37:5, 1643-1657.

Mark D. Griffiths, Vladimir Kotomin and Drew B. Winters, 2012, A Crisis of Confidence: Understanding Money Markets during the Financial Crisis, *Journal of Applied Finance*, 22:2, 39-59.

Ozgur Akay, Ken Cyree, Mark D. Griffiths and Drew B. Winters, 2012, What does PIN identify? Evidence from the T-bill market, *Journal of Financial Markets*, 15:1, 29-46.

Mark D. Griffiths, Vladimir Kotomin and Drew B. Winters, 2011, The Fed and the 2007-2009 Financial Crisis: Treating a virus with antibiotics? Evidence from the Commercial Paper Market, *Financial Review*, 46:4, 541-567.

Mark D. Griffiths, James T. Lindley and Drew B. Winters, 2010, Market-making costs in Treasury Bills: A benchmark for the cost of liquidity, *Journal of Banking and Finance*, 34:9, 2146-2157.

Ozgur Akay, Mark D. Griffiths and Drew B. Winters, 2010, On the Robustness of Range-Based Volatility Estimators, *Journal of Financial Research*, 33:2, 179-199.

Mark D. Griffiths and Philip Drake, 2009, Documentation Risk in Credit Default Swaps: When is a Hedge not a Hedge? *Journal of Risk Management in Financial Institutions*, 3:1, 46-56.

Mark D. Griffiths, Vladimir V. Kotomin and Drew B. Winters, 2009, Year-end and Quarter-end Effects in the Term Structure of British and Euro Repo Rates, *Journal of International Financial Markets, Institutions and Money*, 19:5, 803-817.

Ozgur Akay, Mark D. Griffiths and Drew B. Winters, 2008, An Examination of Two Competing Hypotheses for the Demand for Lottery Tickets, *Journal of Gambling, Business and Economics*, 2:1, 77-102.

Finance Publications (cont'd)

Craig R. Brown, Ken B. Cyree, Mark D. Griffiths and Drew B. Winters, 2008, Further Analysis of the Expectations Hypothesis using very Short-term Interest Rates, *Journal of Banking and Finance*, 32, 600-613.

Mark D. Griffiths and Drew B. Winters, 2008, Benchmark for Comparison of Top Journal Publications across the Functional Areas in Colleges of Business Administration, *Journal of Financial Education*, 34, 18-30.

Zhanying Li, Mark D. Griffiths and Robin Grieves, 2007, Duration Crossovers: An Anomaly Explained, *Journal of Applied Finance*, Spring/Summer, 17:1, 82-87.

Philip Drake, Mark D. Griffiths and Barbara Pécherot Petitt, 2007, McKesson Corporation and HBO & Company: Back to the Altar, [Case Study, Teaching Note and Technical Note], *Journal of Financial Education*, Winter, 33, 89-105.

Mark D. Griffiths and Drew B. Winters. 2005, The Year-End Price of Risk in a Market for Liquidity, *Journal of Investment Management*, 3:1, 1-12.

Reprinted in ***The World of Risk Management***, 2006, H. Gifford Fong [editor], World Scientific Publishing Co. Pte. Ltd., Singapore, Chapter 8, 169-182.

Mark D. Griffiths and Drew B. Winters, 2005, Inferring P&T Research Hurdle Rates: What the Data Say, *Journal of Financial Education*, Fall, v31, 1-25. (Lead article)

Mark D. Griffiths and Drew B. Winters, 2005, The Turn-of-the-Year Effect in the Money Markets: Tests of the Risk-shifting Window Dressing and Preferred Habitat Hypotheses, *Journal of Business*, 78:4, 1337-1363.

Abstracted in ***Global Vista*** 1:1, January/February 2004.

Ken B. Cyree, Mark D. Griffiths and Drew B. Winters, 2004, An Empirical Examination of the Intraday Volatility in Euro-Dollar Rates, *Quarterly Review of Economics and Finance*, 44:1, 44-57.

Mark D. Griffiths, 2003, Nephrology USA, [case study of mergers and acquisitions in the Healthcare industry], *Thunderbird International Business Review*, October, 45:5, 611-627.

Ken Cyree, Mark D. Griffiths and Drew B. Winters, 2003, On the Pervasiveness of Federal Reserve Settlement Regulations, *Federal Reserve Bank of St. Louis Review*, March/April, 27-46.

Mark D. Griffiths and Drew B. Winters, 2000, An Examination of the 1992 Increase in the Allowable Carryover of Reserves in the Bank Settlement Process, *Financial Review*, 35:1, 67-84.

Mark D. Griffiths, Brian F. Smith, D. Alasdair S. Turnbull, and Robert W. White, 2000. The costs and determinants of order aggressiveness, *Journal of Financial Economics*, 56:1, 65-88.

Mark D. Griffiths, D. Alasdair S. Turnbull and Robert W. White, 1999, Re-examining the Small-cap Myth: Problems in Portfolio Formation & Liquidation, *Global Finance Journal*, 10:2, 201-221.

Finance Publications (cont'd)

Craig R. Brown, Mark D. Griffiths, Wayne N. Hansen and Drew B. Winters, 1999, A Profitable Trading Rule for Net Borrowers on Settlement Wednesday, *Quarterly Review of Economics and Finance*, 39:1, 129-146.

Mark D. Griffiths, Brian F. Smith, D. Alasdair S. Turnbull, and Robert W. White, 1998, The Role of Tick Size in Upstairs Trading and Downstairs Trading, *Journal of Financial Intermediation*, 7, 393-417.

Mark D. Griffiths, Brian F. Smith, D. Alasdair S. Turnbull, and Robert W. White, 1998, Information Flows & Open Outcry: Evidence of Imitation Trading, *Journal of International Financial Markets, Institutions & Money*, 8:2, 101-116.

Mark D. Griffiths and Drew B. Winters, 1997, On a Preferred Habitat for Liquidity at the Turn-of-the-Year: Evidence from the Term Repo Market, *Journal of Financial Services Research*, 12:1, 21-38.

Mark D. Griffiths and Drew B. Winters, 1997, The Effect of Federal Reserve Accounting Rules on the Equilibrium Level of Overnight Repo Rates, *Journal of Business Finance and Accounting*, 24:6, 815-832.

Mark D. Griffiths and Drew B. Winters, 1996, The Relation between the Federal Funds Cash and Futures Markets, *Journal of Financial Research*, Fall, 19:3, 359-376.

Esther Weinstock Ancel and Mark D. Griffiths, 1996, Ranking Reversals of Projects with Different Risks and Lives, *Engineering Economist*, Spring, 41:3, 253-270.

Nominated for the 1994 Award for Outstanding Paper in Corporate Finance at the Southern Finance Association Conference.

Mark D. Griffiths and Drew B. Winters, 1995, Day-of-the-Week Effects in Federal Funds Rates: Further Empirical Findings, *Journal of Banking and Finance*, October, 19:7, 1265-1284.

Mark D. Griffiths and Robert W. White, 1993, Tax Induced Trading and the Turn-of-the-Year Anomaly: An Intraday Study, *Journal of Finance*, 48:2, 575-598.

Winner of the 1992 AAI Award for Outstanding Paper in Investments at the Eastern Finance Association Conference.

Nominated for 1993 Smith Breeden Associates Award for the Outstanding Paper published in the Journal of Finance

Finance Publications (cont'd)

Brent W. Ambrose, Esther Ancel and Mark D. Griffiths, 1993, Fractal Structure in the Capital Markets: Revisited, *Financial Analysts Journal*, May/June, 73-76.

Brent W. Ambrose, Esther Ancel and Mark D. Griffiths, 1992, The Fractal Structure of Real Estate Investment Trust Returns: The Search for Evidence of Market Segmentation and Non-Linear Dependency, *Journal of the American Real Estate and Urban Economics Association*, 20:1, 25-54.

Richard J. Bauer Jr. and Mark D. Griffiths, 1988, Evaluating Expert System Investment: An Introduction to the Economics of Knowledge, *Journal of Business Research*, 17:2, 223-233.

Richard J. Bauer Jr. and Mark D. Griffiths, 1988. The 'Why' of Expert Systems, *Business Quarterly*, 51:3, Winter 1987/1988, 86-88.

Entrepreneurship Publications

Mark D. Griffiths, Lisa Gundry, Jill R. Kickul, and Colleen C. Robb, forthcoming, All for one? The Paycheck Protection Program Distribution Disparity, *Journal of Entrepreneurship and Public Policy*.

Jill R. Kickul, Jacqueline Orr, Lisa Gundry, and Mark D. Griffiths, 2019, Integrating Social Entrepreneurship Within Management Education: An Interview with Jeffrey Robinson and Tyler Wry, *Academy of Management Learning and Education Journal*, 18:1, 112-113 – video interview.

Jill R. Kickul, Lisa Gundry, Jacqueline Orr, Mark Griffiths, 2018, Breaking with Tradition: Adopting A Blended Value Approach for The Entrepreneurship Classroom. *USASBE Annals of Entrepreneurship Education and Pedagogy*, 339-345.

Jacqueline Orr, Jill R. Kickul, Lisa Gundry and Mark D. Griffiths, 2018, The Mediating Role of Female Migration on Social Entrepreneurship Activity. *International Journal of Entrepreneurship and Innovation*, 19:4, 273-281.

Jill Kickul, Mark D Griffiths, Sophie Bacq, and Niharika Garud, 2018, Catalyzing Social Innovation: Is Entrepreneurial Bricolage Always Good? *Journal of Entrepreneurship and Regional Development*, 30:3-4, 407-420.

Mark D. Griffiths, Jill R. Kickul and Lisa Gundry, 2013, The Socio-Political, Economic, and Cultural Determinants of Hybrid Social Enterprises: An Empirical Examination, *Journal of Small Business and Enterprise Development*, 20:2, 341-357.

Mark D. Griffiths and Jill R. Kickul, 2013, The Tragedy of the Commons: Opportunities but Still Obstacles for Social Entrepreneurs, *Ivey Business Journal*, March/April.

Featured on the web site CasePlace.org a curated site for business school faculty and other educators hosted by the Aspen Institute's Center for Business Education.

Entrepreneurship Publications (cont'd)

Bala Mulloth, Mark D. Griffiths and Jill R. Kickul, 2012, Verdant Power: A Case of Ethical Leadership, *Journal of Business Ethics Education*, 9, 447-468.

Reprinted in Kickul, J.R. and Lyons, T.S., Social Entrepreneurship: The Pursuit of Mission in an Ever-Changing World, 2nd edition, Routledge Press, New York, NY. (2016).

Jill R. Kickul, Christine Janssen-Selvadurai and Mark D. Griffiths, 2012, A Blended Value Framework to Educating the Next Cadre of Social Entrepreneurs, *Academy of Management Learning and Education*, 11:3, 479-493.

Jill R. Kickul, Siri Terjesen, Sophie Bacq and Mark D. Griffiths, 2012, An Interview with Nobel Laureate Muhammed Yunus, *Academy of Management Learning and Education*, 11:3, 453-462.

Mark D. Griffiths, Jill R. Kickul, Sophie Bacq, and Siri Terjesen, 2012, A Dialogue with William J. Baumol: Insights on Entrepreneurship Theory and Education, *Entrepreneurship Theory and Practice*, 36:4, 611-625, (lead article).

Jill R. Kickul, Mark D. Griffiths, Heinz Klandt and Alain Fayolle, 2011, Introduction to "From Concepts to Intentions: The Primary Challenges in Entrepreneurship Education," the Special Issue of the *International Journal of Entrepreneurship and Small Business*, 13:1, 1-7. (lead article).

Jill R. Kickul, Jayanth Jayaram, Mark D. Griffiths and Stephan M. Wagner, 2011, Operations Management, Entrepreneurship, and Value Creation: Emerging Opportunities in a Cross-Disciplinary Context, the Special Issue of the *Journal of Operations Management*, 29, 78-85.

Jill Kickul, Mark D. Griffiths, and Sophie Bacq, 2010, The Boundary-less Classroom: Extending Social Innovation and Impact Learning to the Field, *Journal of Small Business and Enterprise Development*, 17:4, 652-663.

Abstracted as "Preparing for the Field: Making the most of Experiential Learning" in Strategic Directions, 2011, 27:4, 15-17.

Mark D. Griffiths, Lisa Gundry, Jill R. Kickul and Angeles Munoz-Fernandez, 2009, Innovation Ecology as a Precursor to Entrepreneurial Growth: A Cross-Country Empirical Investigation, *Journal of Small Business and Enterprise Development*, 16:3, 375-390 (lead article).

2010 Outstanding Paper Award, Literati Network Awards for Excellence

Jill R. Kickul, Fiona Wilson, Saulo D. Barbosa, Deborah Marliano and Mark D. Griffiths, 2009, An Analysis of the Role of Gender and Self-Efficacy in Developing Female Entrepreneurial Interest and Behavior, *Journal of Developmental Entrepreneurship*, 14:2, 105-119.

Mark D. Griffiths, Jill R. Kickul and Alan Carsrud, 2009, Government Bureaucracy, Transactional Impediments, and Entrepreneurial Intentions, *International Small Business Journal*, 27:5, 626-645

Entrepreneurship Publications (cont'd)

Mark D. Griffiths and Jill R. Kickul, 2008, The socioeconomic determinants of innovation: An empirical examination, *International Journal of Entrepreneurship and Innovation*, 9:4, 231-240.

Chaitanya Singh and Mark D. Griffiths, 2008, The Role of Computer Usage in the Availability of Credit for Small Businesses, *Managerial Finance*, 34:2, 103-115.

Books, Chapters in Books and Book Reviews

Mark D. Griffiths and Tal Weiss, 2019, Financial Modeling for Mere Mortals, with Teaching Notes and Excel spreadsheets available at [amazon.com](https://www.amazon.com).

Jill Kickul, Mark Griffiths, and Marissa Titus, 2019, "Responsible innovation within the healthcare sector: digital therapeutics and WellStart Health" (Chapter 14) in Iakovleva. T. Oftedal. E. and Bessant J. (eds) *Responsible Innovation in Digital Health: Empowering the Patient*, Edward Elgar Publisher.

Jill Kickul, Mark D. Griffiths, Lisa K. Gundry, and Sophie Bacq. 2013, Social Entrepreneurship, Invited paper to the *Encyclopedia of Entrepreneurship Research, Third Edition*. Donald F. Kuratko and Michael H. Morris (eds).

Jill Kickul, David Gras, Sophie Bacq and Mark D. Griffiths (eds), 2013, *Social Entrepreneurship, Volume 1*. Edward Elgar Publishing Limited, Cheltenham, UK.

*Reviewed by Maria-Carmen Pantea in the **International Entrepreneurship and Management Journal**, May 2014.*

Jill Kickul, David Gras, Sophie Bacq and Mark D. Griffiths (eds), 2013 *Social Entrepreneurship, Volume 2*. Edward Elgar Publishing Limited, Cheltenham, UK.

*Reviewed by Maria-Carmen Pantea in the **International Entrepreneurship and Management Journal**, May 2014.*

Jill R. Kickul, Mark D. Griffiths, and Alexandra Choli. 2012, Women Social Entrepreneurs in the International Economy: Making Transformative Change in their own Communities, Invited paper to *Female Entrepreneurship in Greece*. Katerina Sarri (ed). (Published in Greek).

Lisa K. Gundry, Jill R. Kickul, Mark D. Griffiths, & Sophie Bacq. 2011. Creating Social Change Out of Nothing: The Role of Entrepreneurial Bricolage in Social Entrepreneurs' Catalytic Innovations, *Advances in Entrepreneurship, Firm Emergence, and Growth, Volume 13: Social and Sustainable Entrepreneurship*, 1-24. Thomas Lumpkin, Jerome A. Katz (eds).

2011 BCERC Board of Reviewers Best Paper Choice for publication in *Frontiers of Entrepreneurship Research*.

Books, Chapters in Books and Book Reviews (cont'd)

Jill R. Kickul, Lisa K. Gundry, and Mark D. Griffiths, 2010. Innovating for social impact: *Is bricolage the catalyst for change?* Invited paper for *The Handbook on Social Entrepreneurship*, Chapter 12: 232-251, Alain Fayolle and Harry Matlay (eds), Edward Elgar Publishing Limited, Cheltenham, UK.

Jill R. Kickul, Mark D. Griffiths, Lisa K. Gundry and Tatiana Iakovleva, 2010, Mentoring Women Entrepreneurs in the Russian Emerging Market, in *Women Entrepreneurs and the Global Environment for Growth: A Research Perspective*, Chapter 16, 303-322. Candida G. Brush, Anne de Bruin, Elizabeth J. Gatewood and Colette Henry (eds.)

Mark D. Griffiths and Jill R. Kickul, 2009, Government Corruption and Transactional Impediments in Emerging Markets, Invited paper for *Emerging Markets: Performance, Analysis and Innovation*, Chapter 40, 795-812, Greg N. Gregoriou (ed.), Chapman-Hall/Taylor and Francis London, UK.

Mark D. Griffiths, Vladimir Kotomin and Drew B. Winters, 2009, Liquidity Issues in the Money Markets, Invited paper for *Market Liquidity*, Chapter 3, 43-58, Greg N. Gregoriou and Francois L'Habitant (eds), John Wiley & Sons.

Robin Grieves, Mark D. Griffiths and Myoung Cheol Seo, 2009 (updated), *The Little VBA Book for Microsoft Office® 2007*, available at www.lulu.com and www.amazon.com.

David Blackwell, Mark D. Griffiths and Drew B. Winters, 2006, *Modern Financial Markets: Prices, Yields, and Risk Analysis*, John Wiley & Sons Inc.

Robin Grieves and Mark D. Griffiths, 2004, *A Wall Street Internship: Volume 1 – Introduction to Fixed-Income Analytics*. South-Western Thompson Learning.

Robin Grieves and Mark D. Griffiths, 2004, Solutions Manual & Teaching Notes for *A Wall Street Internship: Volume 1 – Introduction to Fixed-Income Analytics*, South-Western Thompson Learning.

Robin Grieves, Mark D. Griffiths and Myoung Cheol Seo, 2004, *The Little VBA Book*, www.Littletextbooks.com.

Mark D. Griffiths, 1996, Book Review: *International Corporate Finance*, by Mark R. Eaker, Frank J. Fabozzi and Dwight Grant, *North American Journal of Economics and Finance*, 7:3, 233-234.

Mark D. Griffiths, 1993, A Study of Systematic Changes in the Level of Investor Trading, in *Canadian Capital Markets*, The Toronto Stock Exchange/Western Business School, Michael J. Robinson and Brian Smith (eds), 29-43.

Reviewed by David Fowler in the *Journal of Finance*, 49:2, June 1994, 763-767.

Published Refereed Proceedings

Mark D. Griffiths, 1991, A Study of Systematic Changes in the Level of Investor Trading, *Proceedings of the Annual Conference of the Administrative Sciences Association of Canada - Finance Division*, Gordon Sick (ed.), Vol. 12/Part 1.

Ronald L. Thompson and Mark D. Griffiths, 1990, The Dilemma for North American Management Schools: Training Versus Education, *Proceedings of the Canada-China International Management Conference*, Wang Yingluo and William C. Wedley (eds.), August, 350-359.

Winner of the Canada International Development Agency (CIDA) Award.

Mark D. Griffiths, 1990, The Role of Informed Traders in the Turn-of-the-Year Effect, *Proceedings of the Annual Conference of the Administrative Sciences Association of Canada - Finance Division*, Trevor Chamberlain (ed.), Vol. 1/Part 1, 99-108.

Published Text Materials

Jill R. Kickul, Jacqueline Orr, Lisa Gundry, and Mark D. Griffiths, 2019, From Shared Value to a “Blended Value” Framework – An Interview with Jed Emerson in Kickul, J.R. and Lyons, T.S., (forthcoming, 3rd Edition) *Social Entrepreneurship: The Pursuit of Mission in an Ever-Changing World*, 3rd Edition, Routledge Press, New York, NY.

Mark D. Griffiths, 2019, Mobile Nephrology - 2018, case study (and Teaching Note) in Kickul, J.R. and Lyons, T.S., (forthcoming, 3rd Edition) *Social Entrepreneurship: The Pursuit of Mission in an Ever-Changing World*, 3rd Edition, Routledge Press, New York, NY.

Mark D. Griffiths, 2019, Polititoots - 2018, case study (and Teaching Note) in Kickul, J.R. and Lyons, T.S., (forthcoming, 3rd Edition) *Social Entrepreneurship: The Pursuit of Mission in an Ever-Changing World*, 3rd Edition, Routledge Press, New York, NY.

Mark D. Griffiths, 2011, PODER: Project on Organizing, Development, Education and Research, case study (and Teaching Note) in Kickul, J.R. and Lyons, T.S., *Social Entrepreneurship: The Pursuit of Mission in an Ever-Changing World*, Routledge Press, New York, NY. (2012).

Mark D. Griffiths, 2000, Catastrophe Insurance: Exposure and Hedging, #A06-00-0023, Thunderbird, The American Graduate School of International Management.

Mark D. Griffiths, 2000, Pangea Island Natural Gas Services, #A06-00-0019, Thunderbird, The American Graduate School of International Management.

Mark D. Griffiths with Deirdre Brennan, 1999, Long Term Capital Management, Technical Note on a Global Hedge Fund, #A06-99-0020, Thunderbird, The American Graduate School of International Management.

Published Text Materials (cont'd)

Mark D. Griffiths with Steve Bettink, Kerry Connolly, Charles Findlay and Deirdre Brennan, 1999, Baring Brothers & Co., Technical Note on Rogue Trader Nicholas Leeson, #A06-99-0021, Thunderbird, The American Graduate School of International Management.

Mark D. Griffiths, 1999, Solutions Manual for *Principles of Corporate Finance*, 6th edition by Richard A. Brealey and Stewart C. Myers, McGraw Hill - Irwin.

Philip D. Drake and Mark D. Griffiths, 1998, The Green Bay Packers, #A06-98-0004, Thunderbird, The American Graduate School of International Management.

Mark D. Griffiths and Michael H. Moffett, 1998, GM's Plant X - Brazil (A), #A06-98-0002, Thunderbird, The American Graduate School of International Management. Reprinted in *Cases in International Finance*, Michael H. Moffett (ed), 2001, Addison Wesley Longman Inc., Reading MA 01867-3999.

Mark D. Griffiths and Robert W. White, 1996, P.A. Bergner & Co., #9-96-B015, Western Business School, University of Western Ontario.

Paul M. Bishop and Mark D. Griffiths, 1989, Beijing Boiler Works, #9-89-B049, School of Business Administration, University of Western Ontario.

Mark D. Griffiths, 1989, Jess Walton (A), #9-89-B030, School of Business Administration, University of Western Ontario.

Mark D. Griffiths, 1984, Chrysalis Camping Co. (B), #9-84-B022, School of Business Administration, University of Western Ontario.

Funded Presentations

Jill R. Kickul and Mark D. Griffiths, 2010. Where Money and Meaning Intersect for Social Entrepreneurs and Investors: An Econometric Approach to Understanding Investor Decisions (under review at *Entrepreneurship Theory & Practice*).

- Presented at 2010 Babson College Entrepreneurship Research Conference, Lausanne, Switzerland, June 2010. Presented at Stockholm School of Economics, May 2010, Entrepreneurship Seminar Series. Presented at Massey University, Entrepreneurship Innovation lecture series, July, 2011. Presented at Indiana University, Distinguished Social Entrepreneur Award Lecture (Kickul), September, 2011.

Funded by 2010 Berkley Center for Entrepreneurial Studies (NYU-Stern School of Business) Kauffman Foundation grant

Mark D. Griffiths, Jill R. Kickul and Philip Drake, 2009. The Price of Lust: The Case of IPO Lawsuits against VC-Backed Firms.

- Presented at the 2008 Babson College Entrepreneurship Research Conference and UFR de Sciences Economiques et de Gestion, Caen, France.

Funded by 2009 Berkley Center for Entrepreneurial Studies (NYU-Stern School of Business) Kauffman Foundation grant

Manuscripts under Review & On-Going Projects

Mark D. Griffiths and Tal Weiss, Financial Modeling for Mere Mortals: Advanced Topics. (25 caselets completed, with teaching notes and Excel spreadsheets – final revision stage).

Paulami Mitra, Jill R. Kickul and Mark D. Griffiths, The Threshold-Level Determinants of Social Entrepreneurial Activity, First draft completed, submitted to ECFED Conference.

Colleen C. Robb, Jill R. Kickul, Daniel Veidlinger, Mark D. Griffiths, and Diana Hechavarria, 2021, In Other Words: Exploring Gender Bias in Top Collegiate Entrepreneurship Programs, First draft completed, submitted to 2021 USASBE Conference.

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Arthur Tran, Mark D. Griffiths, and Drew B. Winters, 2021, Bank Managers are Prudent: A Benford's Law Approach to Analyzing Loan Loss Allowances, under review at the *Journal of Financial Research*.

Supervision of Doctoral Dissertations

Dissertation Committee Member

Carolyn L. Ashby, 2020, Creative Preferences as Diversity Contributors, *University of the Virgin Islands*.

Ahmed Baig, 2019, 3 Essays in Money Markets (A preferred habitat for liquidity in term repos: Before, during and after the financial crisis, Month-end Regularities in the Overnight Bank Funding Market, The Search for a New Reference Rate), *Texas Tech University*.

Josep M. Puigvert Guterrez, 2016, 3-Month Euribor Expectations and Uncertainty using Option-Implied Probability Densities, *University of Barcelona*, Spain.

Colleen Robb, 2012, Social Ventures: The Development of the Theory of Sustainable Contributive Advantage and initial Empirical Tests, *Abo Akademi University*, Finland.

Professional Activities

Member: The American Finance Association
The Financial Management Association
The Mid-West Financial Association
The Eastern Finance Association
The Southern Finance Association
The Southwestern Finance Association

Special Issue Editorships:

International Journal of Entrepreneurship & Small Business (2011), *From Concepts to Intentions: The Primary Challenges in Entrepreneurship Education* with Jill R. Kickul [NYU, Stern School of Business], Heinz Klandt (EBS) and Alain Fayolle [EM-Lyons]

Journal of Operations Management (2011) *Operations Management, Entrepreneurship, and New Value Creation: Emerging Opportunities in a Cross-Disciplinary Context* with Jill R. Kickul [NYU, Stern School of Business], Jayanth Jayaram (USC) and Stephan M. Wagner [ETH Zurich]

Editorial Board Member:

Journal of Financial Research (2011 - 2018)
Public and Municipal Finance (2011 - 2018)
Thunderbird International Business Review (1997-2001)

Symposium

Organizer: The Arizona Finance Symposium – 1999

References Available Upon Request